Composition operators on Hardy spaces

Episode I

VI Curso Internacional de Análisis Matemático en Andalucía

Antequera septiembre 2014

Pascal Lefèvre Université d'Artois, France

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Lecture 1

- Classical Hardy spaces on $\mathbb D$ Composition operators
- - Boundedness
 - Compactness

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Lecture 1

- $\bullet\,$ Classical Hardy spaces on $\mathbb D$
- Composition operators
 - Boundedness
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2 Lecture 2

- *H*[∞]
- Hardy-Orlicz spaces and their composition operators
- Carleson versus Nevanlinna

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Lecture 1

- Classical Hardy spaces on $\mathbb D$
- Composition operators
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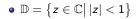
2 Lecture 2

- *H*[∞]
- Hardy-Orlicz spaces and their composition operators
- Carleson versus Nevanlinna

3 Lecture 3

- Schatten classes, approximation numbers
- Absolutely summing composition operators
- Some open problems...

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Notations			



Program		Boundedness	Compactness
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Notations			

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$$\mathbb{D} = \left\{ z \in \mathbb{C} \middle| |z| < 1 \right\}$$

Program		Boundedness	Compactness
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 λ is the Haar measure on $\mathbb{T}.$

Program		Boundedness	Compactness
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Compactness

Classical Hardy spaces on the unit disk

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н^р ●००० Boundedness

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H₽ ●○○○ Boundedness

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H^p ●○○○ Boundedness

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H^p ●○○○ Boundedness

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They are all Banach spaces...

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$$f^*(e^{it}) = \lim_{r \to 1^-} f(re^{it}).$$

It is known that $f^* \in L^p(\mathbb{T})$ and $||f||_{H^p} = ||f^*||_{L^p(\mathbb{T})}$.

In fact, $f^* \in \{g \in L^p(\mathbb{T}) \mid \hat{g}(m) = 0 \text{ for every } m < 0\}.$



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• Conversely, if $g \in L^{p}(\mathbb{T})$, with $\hat{g}(m) = 0$ for every m < 0, the Poisson integral of g at point $z = re^{2i\pi\theta}$

$$P[g](z) = P_r * g(\theta) = \int_0^1 P_r(\theta - t)g(e^{2i\pi t}) dt,$$

belongs to H^p . Moreover $(P[g])^* = g$.



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- We have $H^p \sim \{g \in L^p(\mathbb{T}) \mid \hat{g}(m) = 0 \text{ for every } m < 0\}.$
- Hence we will consider that a function f ∈ H^p is defined, not only on D, but on the whole D = D ∪ T.



• Factorization: we can write $f \in H^p$ as f = B.g where B is inner (*i.e.* $|B^*| = 1$ *a.e.*) and g does not vanish on \mathbb{D} .



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- For every $z \in \mathbb{D}$, the point evaluation at $z \in \mathbb{D}$, is defined on H^p by

$$\delta_z(f)=f(z).$$

 δ_{z} is a continuous linear functional and

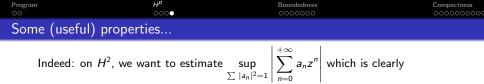


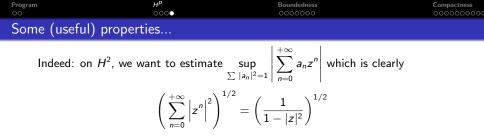
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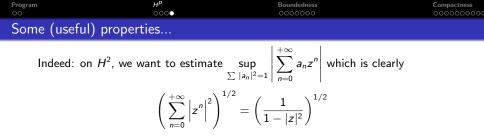
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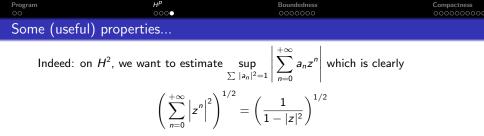
- δ_z is a continuous linear functional and
 - On the Hilbert space H^2 , the functional δ_z is associated to the reproducing kernel $w \in \overline{\mathbb{D}} \longmapsto \frac{1}{1 \overline{z}w}$.

•
$$\|\delta_z\|_{(H^p)^*} = \left(\frac{1}{1-|z|^2}\right)^{1/p} \approx \frac{1}{(1-|z|)^{1/p}}$$





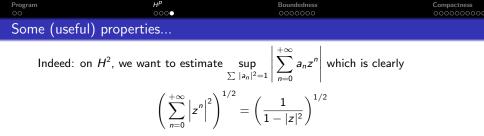




Hence,

$$\left|\delta_{z}(f)\right|^{p} = |f(z)|^{p} \leq |g^{p/2}(z)|^{2} \leq \left\|\delta_{z}\right\|_{(H^{2})^{*}}^{2} \left\|g^{p/2}\right\|_{H^{2}}^{2} = \frac{\left\|g\right\|_{H^{p}}^{p}}{1-|z|^{2}}.$$

so

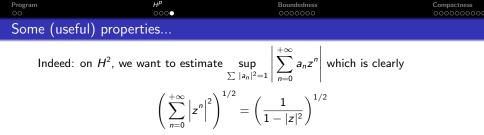


Hence,

$$\left| \delta_z(f) \right|^p = |f(z)|^p \le |g^{p/2}(z)|^2 \le \left\| \delta_z \right\|_{(H^2)^*}^2 \|g^{p/2}\|_{H^2}^2 = \frac{\|g\|_{H^p}^p}{1 - |z|^2}.$$

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Hence,

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so

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For the reverse inequality: consider $w \in \overline{\mathbb{D}} \mapsto \left(\frac{1}{1-\overline{z}w}\right)^{2/p}$.

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Composition	operators				
These are al		C . C	6		D :-

They are the operators of type: $C_{\varphi}: f \longrightarrow f \circ \varphi$ where $\varphi: \mathbb{D} \to \mathbb{D}$ is analytic.

A few natural questions:

Program	H ^p	Boundedness	Compactness
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Composition o	perators		

They are the operators of type: $C_{\varphi}: f \longrightarrow$ analytic.

$$f : f \longrightarrow f \circ \varphi$$
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 - When is it bounded ?

Program	H^{p}	Boundedness	Compactness
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The composition operators $C_{\varphi}: H^p \longrightarrow H^p$ are always bounded.

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- **2** Using the Nevanlinna counting function N_{φ} .
- The Carleson embedding point of view, in terms of Carleson measures.

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Boundedness			

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Boundedness			

For every polynomial f, we have

$$\|f\circ q_a\|_{H^p}^p = \int_{\mathbb{T}} |f(z)|^p rac{1-|a|^2}{|1-ar{a}z|^2} \, d\lambda \leq rac{1+|a|}{1-|a|} \|f\|_{H^p}^p.$$

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Hence

$$\|C_{q_{\boldsymbol{a}}}\| \leq \left(rac{1+|\boldsymbol{a}|}{1-|\boldsymbol{a}|}
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Actually

$$\|C_{q_a}\| = \left(\frac{1+|a|}{1-|a|}\right)^{1/p}$$

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arphi(0)=0			

Program		Boundedness	Compactness
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$\varphi(0)=0$			

Write $a = \varphi(0)$ and consider $\phi = q_a \circ \varphi \iff q_a \circ \phi = \varphi$

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Write $a = \varphi(0)$ and consider $\phi = q_a \circ \varphi \iff q_a \circ \phi = \varphi$ we have $\phi(0) = 0$.

Hence if we prove that C_{ϕ} is bounded (with $\|C_{\phi}\| = 1$):

 $C_{\varphi} = C_{\phi} \circ C_{q_a}$ is bounded as well !

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$$\|\mathcal{C}_{\varphi}\| \leq \left(rac{1+|arphi(\mathbf{0})|}{1-|arphi(\mathbf{0})|}
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Boundedness via the subordination principle

Let $\varphi \colon \mathbb{D} \to \mathbb{D}$ be an analytic function with $\varphi(0) = 0$, and $g \colon \mathbb{D} \to [0, +\infty)$ a subharmonic function. We have for every $r \in (0, 1)$

$$\int_0^{2\pi} gig(arphi(\mathit{re}^{it})ig)\, dt \leq \int_0^{2\pi} g(\mathit{re}^{it})\, dt\, .$$

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Indeed,

let G be an harmonic function such that G = g on $r\mathbb{T}$ and $g \leq G$ on $r\mathbb{D}$.

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Think to the Schwarz lemma !

$$rac{1}{2\pi}\int_{0}^{2\pi}gig(arphi(\mathit{re}^{\mathit{it}})ig)\,\mathit{dt} \quad \leq rac{1}{2\pi}\int_{0}^{2\pi}Gig(arphi(\mathit{re}^{\mathit{it}})ig)\,\mathit{dt} =$$

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Boundedness via the subordination principle

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$$\|f \circ \varphi\|_{H^p}^p \le \|f\|_{H^p}^p \qquad i.e. \qquad \|C_{\varphi}\| = 1$$

The boundedness is proved !!

Program	Boundedness	Compactness
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$$N_{\varphi}(w) = \begin{cases} \sum_{\varphi(\alpha)=w} \log \frac{1}{|\alpha|} & \text{if } w \neq \varphi(0) \text{ and } w \in \varphi(\mathbb{D}) \\ 0 & \text{else.} \\ (every \ \alpha \ occurs \ as \ many \ times \ as \ its \ multiplicity) \end{cases}$$

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This very nice inequality is a "super Schwarz" lemma: it means, when $\varphi(0) = 0$

Program		Boundedness	Compactness
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Now, the Littewood-Paley formula (p = 2)

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$$||f||_{2}^{2} = |f(0)|^{2} + 2 \int_{\mathbb{D}} |f'|^{2} \log \frac{1}{|z|} d\mathcal{A}$$

implies again the boundedness of C_{φ} is bounded on H^2 .

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Program		Boundedness	Compactness
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Boundedness via the N	Vevanlinna function		

$$\|f \circ \varphi\|_2^2 = |f \circ \varphi(0)|^2 + 2 \int_{\mathbb{D}} |(f \circ \varphi)'|^2 \log \frac{1}{|z|} d\mathcal{A}$$

Program		Boundedness	Compactness
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Boundedness via	the Nevanlinna fu	nction	

$$\begin{split} \|f \circ \varphi\|_{2}^{2} &= |f \circ \varphi(0)|^{2} + 2 \int_{\mathbb{D}} |(f \circ \varphi)'|^{2} \log \frac{1}{|z|} \, d\mathcal{A} \\ &= |f \circ \varphi(0)|^{2} + 2 \int_{\mathbb{D}} \left(|f'|^{2} \circ \varphi \right) \times |\varphi'|^{2} \log \frac{1}{|z|} \, d\mathcal{A} \end{split}$$

Program		Boundedness	Compactness
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Program		Boundedness	Compactness
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and

Program H^P Boundedness compactness compa

Point out that

$$\left\|f\circ\varphi\right\|_{p}^{p}=\int_{\overline{\mathbb{D}}}|f|^{p}\,d\lambda_{\varphi}\quad\text{with }\lambda_{\varphi}(E)=\lambda\left(\varphi^{*-1}(E)
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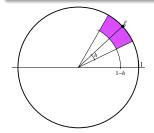
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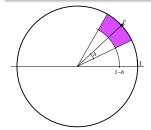
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$$\rho_{\varphi}(h) = \sup_{\xi \in \mathbb{T}} \lambda_{\varphi} (\mathcal{W}(\xi, h)) = O(h) \quad \text{when } h \to 0$$

VI Curso Internacional de Análisis Matemático en Andalucía

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	Definition			
	An operator $T: X -$	→ Y is compa	ct if $T(B_X)$ is relatively compact in Y	<i>.</i>

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First remarks (H.Schwartz,'68)

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Compactness			
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Exercice with the help of Montel's theorem

Program	HP	Boundedness	Compactness
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Compactness			

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Q Exercice with the help of Montel's theorem (or use weak-star compactness).

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$$\hbox{ If } C_{\varphi} \hbox{ is compact on } H^p, \hbox{ then } \lim_{|z| \to 1^-} \frac{1-|z|}{1-|\varphi(z)|} = 0 \\$$

Indeed

- **O** Exercice with the help of Montel's theorem (or use weak-star compactness).
- **2** The sequence (z^n) uniformly converges to 0 on compact subsets of \mathbb{D} , so

$$\left\| C_{\varphi}(z^{n}) \right\|_{p}^{p} = \left\| \varphi^{n} \right\|_{p}^{p} \longrightarrow 0$$

Program	HP	Boundedness	Compactness
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Compactness			

An operator $T: X \to Y$ is *compact* if $T(B_X)$ is relatively compact in Y.

First remarks (H.Schwartz,'68)

O The operator C_φ: H^p → H^p is compact *if and only if* for every bounded sequence {f_n}_n in H^p converging to 0 uniformly on compact subsets of D, we have f_n ◦ φ → 0 in H^p.

2 If
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$${f 0}$$
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$$\left\|\varphi^{n}\right\|_{\rho}^{\rho} = \int_{\mathbb{T}} \left|\varphi^{*}\right|^{n\rho} d\lambda \longrightarrow \lambda_{\varphi}(\mathbb{T}).$$

Program	H ^p 0000	Boundedness	Compactness •••••••••
Compactness			
3 If C_{arphi} is co	mpact on H^p , then $ ^z$	$\lim_{ \rightarrow 1^-} \frac{1- z }{1- \varphi(z) }=0$	

Program	HP	Boundedness	Compactness
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Compactness			

3 If
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Remember that the functional δ_z has norm $\frac{1}{(1-|z|^2)^{1/p}}$ and point out that $C^*_{\varphi}(\delta_z) = \delta_{\varphi(z)}.$

Program	H ^p	Boundedness	Compactness
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Then for any sequence $z_n \in \mathbb{D}$ such that $|z_n| \longrightarrow 1^-$, the sequence $\mu_n = (1 - |z_n|^2)^{1/p} \delta_{z_n}$ lies in the unit sphere of the dual of H^p .

Program	H ^p	Boundedness	Compactness
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(Shapiro-Taylor	'73) The problem re	duces to the hilbertian case:	

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Program 00	Н ^р 0000	Boundedness	Compactness
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Up to (enough) subsequences, we may assume that

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Program ○○	Hr 0000	Boundedness 0000000	Compactness
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Do not forget that $\lambda_{\varphi}(\mathbb{T}) = 0$

$$\int_{\mathbb{T}} \left| f_n \circ \varphi^* \right|^p d\lambda \lesssim \int_{\mathbb{T}} \left| (G_n - G) \circ \varphi^* \right|^q \left| B_n \circ \varphi^* \right|^p d\lambda + \int_{\mathbb{T}} \left| G \circ \varphi^* \right|^q \left| B_n \circ \varphi^* \right|^p d\lambda$$

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The dominated convergence theorem gives that the second term converges to 0.

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Compactness			
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The dominated convergence theorem gives that the second term converges to 0. The compactness of C_{φ} on H^{ρ} is proved.

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Compactness			
Definition			

Roundedness

Compactness

An operator $T: H \rightarrow H$ is *Hilbert-Schmidt* if for an (any) orthonormal basis (b_n) , we have

$$\left\|T\right\|_{HS}^{2} = \sum \left\|T(b_{n})\right\|_{H}^{2} < +\infty$$

Program

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Cor	npactness			
	Definition			
	An operator <i>T</i> (<i>b_n</i>), we have	$: H \rightarrow H$ is <i>Hilbert-S</i>	<i>chmidt</i> if for an (any) ortho	normal basis
		$\ T\ _{HS}^2 = \sum$	$\int \left\ T(b_n) \right\ _{H}^{2} < +\infty$	

Boundedness

Compactness

Hilbert-Schmidt operators are compact !

Program

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Compactness			

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(Shapiro-Taylor '73) Hilbert-Schmidt composition operators

$$C_{arphi}$$
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The sequence $b_n(z) = z^n$ (where $n \in \mathbb{N}$) is an orthonormal basis of H^2 ...

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Compactness			

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$$\|C_{\varphi}\|_{HS}^2 = \sum_{n=0}^{\infty} \|\varphi^n\|_{H^2}^2 =$$

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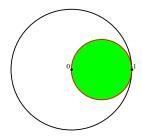
$$\|C_{\varphi}\|_{HS}^2 = \sum_{n=0}^{\infty} \|\varphi^n\|_{H^2}^2 = \sum_{n=0}^{\infty} \int_{\mathbb{T}} |\varphi^*|^{2n} d\lambda = \int_{\mathbb{T}} \frac{1}{1 - |\varphi^*|^2} d\lambda.$$

It can be also written

$$\int_{\overline{\mathbb{D}}} \frac{1}{1-|z|^2} \, d\lambda_{\varphi}$$

Compactness on Hardy spaces: two examples

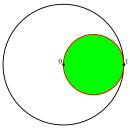
$$\varphi(z)=\frac{1+z}{2}$$

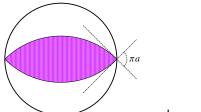


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Compactness on Hardy spaces: two examples







Lens map (0 < a < 1)



 $\underline{\text{Theorem}}(\text{Power 80, Mac-Cluer 85})$ $C_{\varphi} \text{ is compact} \quad \text{if and only if} \quad \lambda_{\varphi} \text{ is a vanishing Carleson measure i.e.}$ $\rho_{\varphi}(h) = \sup_{\xi \in \mathbb{T}} \lambda_{\varphi} (W(\xi, h)) = o(h) \quad \text{when } h \to 0$



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Theorem(Shapiro '87)

 C_{φ} is compact if and only if $\nu_{\varphi}(h) = \sup_{|w| \ge 1-h} N_{\varphi}(w) = o(h)$ when $h \to 0$ Actually:

$$\|C_{\varphi}\|_{e} = \limsup_{|w| \to 1^{-}} \left(\frac{N_{\varphi}(w)}{1-|w|}\right)^{1/2} = \lim_{h \to 0} \left(\frac{\nu_{\varphi}(h)}{h}\right)^{1/2}.$$



Theorem(Power 80, Mac-Cluer 85)

 C_{arphi} is compact if and only if λ_{arphi} is a vanishing Carleson measure i.e.

$$ho_{arphi}(h) = \sup_{\xi \in \mathbb{T}} \lambda_{arphi} (W(\xi, h)) = o(h) \qquad ext{ when } h o 0$$

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(Ackeroyd '10)

$$\|C_{\varphi}\|_{e} = \limsup_{|\mathbf{a}| \to 1^{-}} \left\|C_{\varphi}\left(\frac{k_{\mathbf{a}}}{\|k_{\mathbf{a}}\|_{H^{2}}}\right)\right\|_{H^{2}}$$



Let us prove that C_{arphi} is compact when $\sup_{|w|\geq 1-h}N_{arphi}(w)=o(h)$ when h
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Some characterizations of compactness

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Program		Boundedness	Compactness
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Back to non-ang	ular derivative		

$$C_{\varphi}$$
 is compact on $H^{\rho} \iff \lim_{|z| \to 1^{-}} \frac{1 - |\varphi(z)|}{1 - |z|} = \infty$
if φ univalent (or finitely valent)

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Program		Boundedness	Compactness
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Back to non-a	angular derivative		

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The converse is false in general: McCluer-Shapiro ('86) constructed inner functions φ admitting no angular derivatives at any point of the circle.

Program		Boundedness	Compactness
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Angular derivativ			
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We shall say that φ satisfies (NC) if

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Angular derivative			

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We say that φ has an angular derivative at $\xi \in \mathbb{T}$, if for some $a \in \mathbb{T}$ the following non-tangential limit exists in \mathbb{C} :

$$\angle \lim_{z \to \xi} \frac{\varphi(z) - a}{z - \xi} \tag{AD}$$

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 φ satisfies (NC) if and only φ has angular derivative at no point $\xi \in \mathbb{T}$.

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Observe that, if φ has angular derivative at ξ and $a \in \mathbb{T}$ is like in (AD), then

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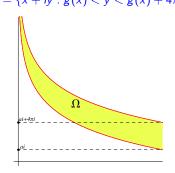
$$\angle \lim_{z \to \xi} \varphi(z) = a.$$

This allowed MacCluer and Shapiro ('86) to construct an example of a (finitely valent) symbol $\varphi \colon \mathbb{D} \to \mathbb{D}$ such that C_{φ} is compact, but φ is onto: $\varphi(\mathbb{D}) = \mathbb{D}$.



Construction of the McCluer-Shapiro's example

Let $g: (0, +\infty) \to \mathbb{R}$ be a continuous decreasing function such that $\lim_{x \to 0^+} g(x) = +\infty$ (for instance g(x) = 1/x). And consider the domain $\Omega = \{x + iy : g(x) < y < g(x) + 4\pi\}$

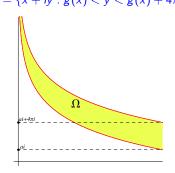


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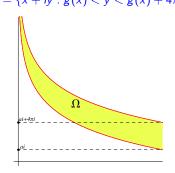
and keep in mind that

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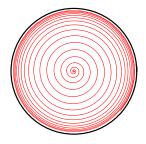
and keep in mind that

$$|\varphi_1(z)| \longrightarrow 1^- \quad \Longleftrightarrow \operatorname{Re}(f(z)) \longrightarrow 0^+$$

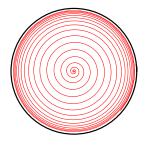
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Angular derivative			

 φ_1 is 2-valent and

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Angular derivative			

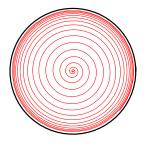


Program	Н ^р	Boundedness	Compactness
Angular derivative			



 φ_1 is almost onto: $\varphi_1(\mathbb{D}) = \mathbb{D} \setminus \{0\}.$

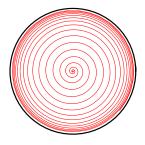
Program	HP	Boundedness	Compactness
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Program	HP	Boundedness	Compactness
Angular derivative			



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Take $a \in \mathbb{D} \setminus \{0\}$ and consider $\varphi = Q_a \circ \varphi_1$, where $Q_a(z) = \left(\frac{a-z}{1-\overline{a}z}\right)^2$. φ is onto and $C_{\varphi} = C_{\varphi_1} \circ C_{Q_a}$ is compact.

Program	Boundedness	Compactness

Merci !