

# COMPACT BILINEAR COMMUTATORS: THE WEIGHTED CASE

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JOINT WORK WITH Á. Bényi, K. Moen and R.H. Torres

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### Outline







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# Bilinear Calderón–Zygmund operators

#### DEFINITION (GRAFAKOS-TORRES, 2002)

We say that *T* is an bilinear **Calderón-Zygmund operator** if, for some  $1 < p_1, p_2 < \infty$ , it extends to a bounded bilinear operator from  $L^{p_1} \times L^{p_2}$  to  $L^p$ , where

$$\frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2},$$

and if there exists a function *K*, defined off the diagonal x = y = z in  $(\mathbb{R}^n)^3$ , satisfying

$$T(f,g)(x) = \iint_{\mathbb{R}^{2n}} K(x,y,z) f(y)g(z) \, dy dz,$$

for all  $x \notin supp f \cap supp g$ .

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### Bilinear Calderón–Zygmund operators

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The kernel *K* must also satisfy these **conditions**:

$$|K(x,y,z)| \lesssim \frac{1}{\left(|x-y|+|x-z|\right)^{2n}},$$

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$$|K(x,y,z)| \lesssim \frac{1}{\left(|x-y|+|x-z|\right)^{2n}},$$

and

$$|\nabla K(x,y,z)| \lesssim \frac{1}{\left(|x-y|+|x-z|\right)^{2n+1}},$$

where  $\nabla$  denotes the gradient in all possible variables.

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### BOUNDED MEAN OSCILLATION FUNCTIONS

$$BMO = \{ f \in L^1_{loc}(\mathbb{R}^n) : M^{\sharp}f \in L^{\infty} \}$$

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FEFFERMAN-STEIN SHARP MAXIMAL FUNCTION

$$M^{\sharp}f(x) = \sup_{Q \ni x} \frac{1}{|Q|} \int_{Q} |f(y) - f_{Q}| dy$$

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#### CONTINUOUS MEAN OSCILLATION FUNCTIONS

*CMO* is defined as the closure of  $C_c^{\infty}$  in the *BMO* norm.

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### Commutators of linear Calderón–Zygmund operators

Let *T* be a linear Calderón–Zygmund operator associated with a kernel *K* and *b* is a *BMO* function.

$$[T,b] f(x) = T(bf)(x) - bT(f)(x)$$
$$= \int_{\mathbb{R}^n} (b(y) - b(x))K(x,y)f(y)dy.$$

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### Commutators of bilinear CZO

Let *T* be a bilinear Calderón–Zygmund operator and  $b \in BMO$ .

COMMUTATOR IN THE FIRST VARIABLE

$$\begin{split} [T,b]_1(f,g) &= T(bf,g)(x) - bT(f,g)(x) \\ &= \iint_{(\mathbb{R}^n)^2} K(x,y,z)(b(y) - b(x))f(y)g(z)dydz. \end{split}$$

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#### COMMUTATOR IN THE SECOND VARIABLE

$$\begin{split} [T,b]_2(f,g) &= T(f,bg)(x) - bT(f,g)(x) \\ &= \iint_{(\mathbb{R}^n)^2} K(x,y,z)(b(z) - b(x))f(y)g(z)dydz \end{split}$$

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### Iterated commutators of bilinear CZO

Let *T* be a bilinear Calderón–Zygmund operator and  $\vec{b} = (b_1, b_2) \in BMO^2$ .

$$[T, \vec{b}](f, g)(x) = [[T, b_1]_1, b_2]_2(f, g)(x) = [[T, b_2]_2, b_1]_1(f, g)(x)$$

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For a multi-index  $\vec{\alpha} = (\alpha_1, \alpha_2) \in \mathbb{N}_0^2$ ,

$$[T, \vec{b}]_{\vec{\alpha}}(f, g)(x) = \iint_{(\mathbb{R}^n)^2} K(x, y, z) (b_1(y) - b_1(x))^{\alpha_1} ((b_2(z) - b_2(x))^{\alpha_2} f(y)g(z)dydz.$$

### Compactness of a bilinear operator

Let *X*, *Y* and *Z* be normed spaces and  $T: X \times Y \rightarrow Z$  be a bilinear operator.

DEFINITION (BÉNYI AND TORRES, 2013)

• Jointly compact if  $\{T(x,y) : ||x||_X, ||y||_Y \le 1\}$  is precompact in *Z*.

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Output in the first variable if

 $T_y = T(\cdot, y) : X \to Z$  is compact for all  $y \in Y$ .

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Ompact in the first variable if

 $T_y = T(\cdot, y) : X \to Z$  is compact for all  $y \in Y$ .

Ompact in the second variable if

 $T_x = T(x, \cdot) : Y \to Z$  is compact for all  $x \in X$ .

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Separately compact if *T* is compact both in the first and second variable.

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### Boundedness of linear commutators

In 1976, Coifman, Rochberg and Weiss proved:

#### THEOREM

Let *T* be a Calderón–Zygmund operator. If  $b \in BMO$ , then

$$[T,b]: L^p(\mathbb{R}^n) \longrightarrow L^p(\mathbb{R}^n), \quad 1$$

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Conversely, if for every j = 1, ..., n,  $[R_j, b]$  is bounded on  $L^p(\mathbb{R}^n)$  for some p,  $1 , where <math>R_j$  is the *j*-th Riesz transform given by

$$R_j f(x) = \frac{\Gamma\left(\frac{n+1}{2}\right)}{\pi^{\frac{n+1}{2}}} p.v. \int_{\mathbb{R}^n} \frac{y_j}{|y|^{n+1}} f(x-y) dy, \qquad 1 \le j \le n,$$

then  $b \in BMO$ .

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### Compactness of linear commutators

### THEOREM (UCHIYAMA, 1978)

Let  $b \in \bigcup_{q>1} L^q_{loc}(\mathbb{R}^n)$  and T a Calderón–Zygmund operator. Then [T,b] is a compact operator from  $L^p(\mathbb{R}^n)$  into itself,  $1 , if and only if, <math>b \in CMO$ .



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#### THEOREM (FRÉCHET-KOLMOGOROV)

A set  $\mathscr{K}$  is precompact in  $L^p$ ,  $1 \le p < \infty$ , if and only if

• 
$$\mathscr{K}$$
 is bounded in  $L^p$ ;

$$\lim_{A \to \infty} \int_{|x| > A} |f(x)|^p \, dx = 0 \text{ uniformly for } f \in \mathcal{K};$$

$$\lim_{t\to 0} \|f(\cdot+t) - f\|_{L^p} = 0 \text{ uniformly for } f \in \mathcal{K}.$$

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### Boundedness and compactness of bilinear commutators

#### THEOREM

Let *T* be a bilinear Calderón–Zygmund operator and  $b, b_1, b_2 \in BMO$ . Then  $[T,b]_1, [T,b]_2$  and  $[[T,b_1]_1, b_2]_2 : L^{p_1}(\mathbb{R}^n) \times L^{p_2}(\mathbb{R}^n) \to L^p(\mathbb{R}^n)$ , with  $\frac{1}{p_1} + \frac{1}{p_2} = \frac{1}{p}, 1 < p_1, p_2 < \infty$ , with estimates of the form  $||[T,b]_1(f,g), [T,b]_2(f,g)||_{L^p} \lesssim ||b||_{BMO}||f||_{L^{p_1}}||g||_{L^{p_2}},$ 

 $||[[T,b_1]_1,b_2]_2(f,g)||_{L^p} \lesssim ||b_1||_{BMO}||b_2||_{BMO}||f||_{L^{p_1}}||g||_{L^{p_2}}.$ 

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 $||[T,b]_1(f,g),[T,b]_2(f,g)||_{L^p} \lesssim ||b||_{BMO} ||f||_{L^{p_1}} ||g||_{L^{p_2}},$ 

 $||[[T,b_1]_1,b_2]_2(f,g)||_{L^p} \lesssim ||b_1||_{BMO}||b_2||_{BMO}||f||_{L^{p_1}}||g||_{L^{p_2}}.$ 

#### THEOREM (BÉNYI AND TORRES, 2013)

If  $b, b_1, b_2 \in CMO$ ,  $\frac{1}{p_1} + \frac{1}{p_2} = \frac{1}{p}$ ,  $1 < p_1, p_2 < \infty$  and  $1 \le p < \infty$ , then  $[T, b]_1$ ,  $[T, b]_2$  and  $[[T, b_1]_1, b_2]_2$  are compact for the same range of exponents.

# $A_p$ weights

In 1972, Muckenhoupt characterized the class of weights v for which the following strong inequality holds

$$\int_{\mathbb{R}^n} (Mf(x))^p v(x) dx \le C \int_{\mathbb{R}^n} |f(x)|^p v(x) dx, \quad f \in L^p(v),$$

where

$$Mf(x) = \sup_{Q \ni x} \frac{1}{|Q|} \int_{Q} |f(y)| dy.$$

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# $A_p$ weights

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holds if and only if v satisfies the  $A_p$  condition

### $A_p$ CONDITION

$$[v]_{A_p} := \sup_{\mathcal{Q}} \left( \frac{1}{|\mathcal{Q}|} \int_{\mathcal{Q}} v(x) dx \right) \left( \frac{1}{|\mathcal{Q}|} \int_{\mathcal{Q}} v(x)^{-\frac{1}{p-1}} \right)^{p-1} < \infty, \quad p > 1.$$

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#### THEOREM (COIFMAN AND C. FEFFERMAN, 1974)

Let *T* be a Calderón–Zygmund operator. Then, for any  $w \in A_p$ , 1 , $<math>T : L^p(w) \to L^p(w)$ .

# Compactness in the weighted setting

#### THEOREM (CLOP AND CRUZ, 2013)

Let  $1 and <math>w \in A_p$  and let  $\mathscr{K} \subset L^p(w)$ . If

• 
$$\mathscr{K}$$
 is bounded in  $L^p(w)$ ;

$$\lim_{A \to \infty} \int_{|x| > A} |f(x)|^p w(x) \, dx = 0 \text{ uniformly for } f \in \mathcal{K};$$

So 
$$\lim_{t\to 0} ||f(\cdot+t) - f||_{L^p(w)} = 0$$
 uniformly for *f* ∈  $\mathcal{K}$ ;

then  $\mathscr{K}$  is precompact in  $L^p(w)$ .

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then  $\mathcal{K}$  is precompact in  $L^{p}(w)$ .

#### THEOREM (CLOP AND CRUZ, 2013)

Let *T* be a Calderón–Zygmund operator. Let  $w \in A_p$ , with 1 , and let

 $b \in CMO$ . Then the commutator  $[T,b] : L^p(w) \to L^p(w)$  is compact.

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# $A_{\vec{P}}$ condition

Let 
$$\vec{P} = (p_1, \dots, p_m)$$
 and let p be a number such that  $\frac{1}{p} = \frac{1}{p_1} + \dots + \frac{1}{p_m}$ .

### DEFINITION (LOPTT, 2009)

Let 
$$1 \le p_1, ..., p_m < \infty$$
. Given  $\vec{w} = (w_1, ..., w_m)$ , set  $v_{\vec{w}} = \prod_{i=1}^m w_i^{p/p_i}$ 

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### $A_{\vec{P}}$ CONDITION (LOPTT, 2009)

We say that  $\vec{w}$  satisfies the  $A_{\vec{P}}$  condition if

$$[\vec{w}]_{A_{\vec{p}}} := \sup_{Q} \left( \frac{1}{|Q|} \int_{Q} v_{\vec{w}} \right) \prod_{j=1}^{m} \left( \frac{1}{|Q|} \int_{Q} w_{j}^{1-p_{j}'} \right)^{p/p_{j}'} < \infty.$$
  
When  $p_{j} = 1$ ,  $\left( \frac{1}{|Q|} \int_{Q} w_{j}^{1-p_{j}'} \right)^{p/p_{j}'}$  is understood as  $(\inf_{Q} w_{j})^{-p}$ .

# Weighted results in the multilinear setting

### THEOREM (LOPTT, 2009)

Let 
$$1 < p_j < \infty, j = 1, \dots, m$$
 and  $\frac{1}{p} = \frac{1}{p_1} + \dots + \frac{1}{p_m}$ . Then the inequality

$$\|\mathscr{M}(\vec{f})\|_{L^{p}(\mathbf{v}_{\vec{w}})} \leq C \prod_{j=1}^{m} \|f_{j}\|_{L^{p_{j}}(w_{j})}$$

holds for every  $\vec{f}$  if and only if  $\vec{w}$  satisfies the  $A_{\vec{p}}$  condition, where

$$\mathscr{M}(\vec{f})(x) = \sup_{Q \ni x} \prod_{i=1}^m \frac{1}{|Q|} \int_Q |f_i(y_i)| dy_i.$$

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holds for every  $\vec{f}$  if and only if  $\vec{w}$  satisfies the  $A_{\vec{P}}$  condition.

#### THEOREM (LOPTT, 2009)

Let *T* be a multilinear Calderón–Zygmund operator. If  $\vec{w} \in A_{\vec{P}}$  with  $\vec{P} > \vec{1}$ and  $\frac{1}{p_1} + \dots + \frac{1}{p_m} = \frac{1}{p}$ , then

$$T: L^{p_1}(w_1) \times \cdots \times L^{p_m}(w_m) \to L^p(\mathbf{v}_{\vec{w}}).$$

### Main results

#### THEOREM I (BÉNYI, D., MOEN AND TORRES)

Suppose  $\vec{P} \in (1,\infty) \times (1,\infty)$ ,  $p = \frac{p_1p_2}{p_1+p_2} > 1$ ,  $b \in CMO$ , and  $\vec{w} \in A_p \times A_p$ . Then  $[T,b]_1$  and  $[T,b]_2$  are compact operators from  $L^{p_1}(w_1) \times L^{p_2}(w_2)$  to  $L^p(\mathbf{v}_{\vec{w}})$ .



### Main results

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#### THEOREM II (BÉNYI, D., MOEN AND TORRES)

Suppose  $\vec{P} \in (1,\infty) \times (1,\infty)$ ,  $p = \frac{p_1p_2}{p_1+p_2} > 1$ ,  $\vec{b} \in CMO \times CMO$ , and  $\vec{w} \in A_p \times A_p$ . Then  $[T, \vec{b}]$  is a compact operator from  $L^{p_1}(w_1) \times L^{p_2}(w_2)$  to  $L^p(\mathbf{v}_{\vec{w}})$ .

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# Sketch of proof for $[T,b]_1$

Introduce the truncated kernels

$$K^{\delta}(x,y,z) = \begin{cases} K(x,y,z), & \max\left(|x-y|,|x-z|\right) > \delta\\ 0, & \max\left(|x-y|,|x-z|\right) \le \delta. \end{cases}$$

MAIN RESULTS

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## Sketch of proof for $[T,b]_1$

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• Consider the operator  $T^{\delta}(f,g)$  associated with  $K^{\delta}$ . We get that  $|[T^{\delta},b]_1(f,g)(x) - [T,b]_1(f,g)(x)| \lesssim \delta ||\nabla b||_{L^{\infty}} \mathscr{M}(f,g)(x).$ 

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• Consider the operator  $T^{\delta}(f,g)$  associated with  $K^{\delta}$ . We get that  $|[T^{\delta},b]_1(f,g)(x) - [T,b]_1(f,g)(x)| \leq \delta ||\nabla b||_{L^{\infty}} \mathcal{M}(f,g)(x).$ 

Since the bounds of the commutators with *BMO* functions are  $\|[T, \vec{b}]_{\vec{\alpha}}(f, g)\|_{L^p(V_{\vec{w}})} \lesssim \|b_1\|_{BMO}^{\alpha_1} \|b_2\|_{BMO}^{\alpha_2} \|f\|_{L^{p_1}(w_1)} \|g\|_{L^{p_2}(w_2)},$ 

to show compactness when working with symbols in *CMO* we may also assume  $\vec{b} \in C_c^{\infty} \times C_c^{\infty}$  by density and the estimates may depend on  $\vec{b}$  too.

# Sketch of proof for $\overline{[T,b]_1}$

Fix  $\delta > 0$  and assume  $b \in C_c^{\infty}$ . Suppose f, g belong to

$$B_{1,L^{p_1}(w_1)} \times B_{1,L^{p_2}(w_2)} = \{(f,g) : \|f\|_{L^{p_1}(w_1)}, \|g\|_{L^{p_2}(w_2)} \le 1\},\$$

with  $w_1$  and  $w_2$  in  $A_p$ .



Fix  $\delta > 0$  and assume  $b \in C_c^{\infty}$ . Suppose f, g belong to

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with  $w_1$  and  $w_2$  in  $A_p$ . We want to prove:

• 
$$[T^{\delta}, b]_1(B_{1,L^{p_1}(w_1)} \times B_{1,L^{p_2}(w_2)})$$
 is bounded in  $L^p(v_{\vec{w}})$ ;  
•  $\lim_{x \to 0} \int \int |[T^{\delta}, b]_1(f, g)(x)|^p v_{\vec{w}} dx = 0$ ;

$$\lim_{R \to \infty} \int_{|x| > R} |[T^{o}, b]_{1}(f, g)(x)|^{p} v_{\vec{w}} dx = 0$$

$$\lim_{t \to 0} \| [T^{\delta}, b]_1(f, g)(\cdot + t) - [T^{\delta}, b]_1(f, g) \|_{L^p(V_{\vec{w}})} = 0.$$

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## Sketch of proof for $[T,b]_1$

Proof of condition 1. It is a consequence of the following

THEOREM (PÉREZ, PRADOLINI, TORRES AND TRUJILLO-GONZÁLEZ) Let *T* be a bilinear Calderón–Zygmund operator and  $\vec{w} \in A_{\vec{p}}$  with

$$\frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2}$$

and  $1 < p_1, p_2 < \infty$  and  $\vec{b} = (b_1, b_2) \in BMO^2$ . Then

 $||[[T,b_1]_1,b_2]_2(f,g)||_{L^p(\mathbf{v}_{\vec{w}})} \lesssim ||b_1||_{BMO} ||b_2||_{BMO} ||f||_{L^{p_1}(w_1)} ||g||_{L^{p_2}(w_2)}.$ 

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Observe that  $\vec{w} \in A_p \times A_p \subset A_{\vec{P}}$ .

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## Sketch of proof for $[T,b]_1$

**Proof of condition 2.** Let *A* be large enough so that *supp*  $b \subset B_A(0)$  and let  $R \ge \max(1, 2A)$ . Then for |x| > R we have

$$\begin{split} |[T^{\delta},b]_{1}(f,g)(x)| &\leq \|b\|_{L^{\infty}} \int_{supp\,b} \int_{\mathbb{R}^{n}} \frac{|f(y)||g(z)|}{(|x-y|+|x-z|)^{2n}} \, dy dz \\ &\lesssim \frac{1}{|x|^{n}} \|b\|_{L^{\infty}} \|f\|_{L^{p_{1}}(w_{1})} \sigma_{1}(B_{A}(0))^{1/p'_{1}} \int_{\mathbb{R}^{n}} \frac{|g(z)|}{(|x|+|x-z|)^{n}} \, dz, \end{split}$$

where  $\sigma_1 = w_1^{1-p_1'}$  and  $\frac{1}{p_1} + \frac{1}{p_1'} = 1$ .

#### **Proof of condition 2.** For the global part of the highlighted term

$$\int_{|z|\geq 1} \frac{|g(z)|}{(|x|+|x-z|)^n} dz \le \|g\|_{L^{p_2}(w_2)} \Big(\int_{|z|\geq 1} \frac{\sigma_2(z)}{|z|^{np_2'}} dz\Big)^{1/p_2'}$$

Since  $w_2 \in A_p \subset A_{p_2}$ , we have  $\sigma_2 = w_2^{1-p_2'} \in A_{p_2'}$ , and then

$$\int_{|z|\geq 1}\frac{\sigma_2(z)}{|z|^{np_2'}}dz<\infty.$$

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**Proof of condition 2.** And finally, combining the local and global estimate, rasing both sides to the power *p* and integrating over  $|x| \ge R$ , we get

$$\int_{|x|>R} |[T^{\delta},b]_1(f,g)(x)|^p \mathbf{v}_{\vec{w}} \, dx \lesssim_{b,\vec{P},\vec{w}} \int_{|x|>R} \frac{\mathbf{v}_{\vec{w}}(x)}{|x|^{np}} \, dx \to 0, \quad R \to \infty,$$

where we used again the fact that for  $v \in A_r$ , r > 1,

$$\int_{|x|>R} \frac{v(x)}{|x|^{nr}} \, dx \to 0, \quad R \to \infty.$$

#### **Proof of condition 3.**

Smooth truncations

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### Further remarks

The sufficient condition about  $L^p(w)$  precompactness in [CC] may be extended to include weights  $w \in A_q$ , with q > p.

#### Remark

Let 
$$1 ,  $w \in A_{\infty}$ , and  $\mathscr{K} \subset L^{p}(w)$ . If$$

• 
$$\mathscr{K}$$
 is bounded in  $L^p(w)$ ;

$$\lim_{A\to\infty}\int_{|x|>A} |f(x)|^p w dx = 0 \text{ uniformly for } f \in \mathcal{K};$$

 $\ \ \, {\|f(\cdot+t_1)-f(\cdot+t_2)\|_{L^p(w)}} \to 0 \text{ uniformly for } f\in \mathcal{K} \text{ as } |t_1-t_2| \to 0;$ 

then  $\mathscr{K}$  is precompact.

### Further remarks

Observe that

$$\vec{w} \in A_p \times A_p \Rightarrow \vec{w} \in A_{\vec{P}} \text{ and } v_{\vec{w}} \in A_p.$$



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#### THEOREM I'

Suppose  $\vec{P} \in (1,\infty) \times (1,\infty)$ ,  $p = \frac{p_1 p_2}{p_1 + p_2} > 1$ ,  $b \in CMO$ , and  $\vec{w} \in A_{\vec{P}}$  with  $v_{\vec{w}} \in A_p$ . Then  $[T,b]_1$  and  $[T,b]_2$  are compact operators from  $L^{p_1}(w_1) \times L^{p_2}(w_2)$  to  $L^p(v_{\vec{w}})$ .

#### THEOREM II'

Suppose  $\vec{P} \in (1,\infty) \times (1,\infty)$ ,  $p = \frac{p_1 p_2}{p_1 + p_2} > 1$ ,  $\vec{b} \in CMO \times CMO$ , and  $\vec{w} \in A_{\vec{P}}$ with  $v_{\vec{w}} \in A_p$ . Then  $[T, \vec{b}]$  is a compact operator from  $L^{p_1}(w_1) \times L^{p_2}(w_2)$  to  $L^p(v_{\vec{w}})$ .

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### Smooth truncations

We can also consider smooth truncations. Let  $\varphi = \varphi(x, y, z)$  be a non-negative function in  $C_c^{\infty}(\mathbb{R}^{3n})$ ,

$$supp \, \varphi \subset \{(x, y, z) : \max(|x|, |y|, |z|) < 1\}$$

and such that

$$\int_{\mathbb{R}^{3n}} \varphi(u) \, du = 1.$$

For  $\delta > 0$  let  $\chi^{\delta} = \chi^{\delta}(x, y, z)$  be the characteristic function of the set

$$\{(x,y,z): \max(|x-y|,|x-z|) \ge \frac{3\delta}{2}\},\$$

and let

$$\psi^{\delta} = \varphi_{\delta} * \chi^{\delta},$$

where

$$\varphi_{\delta}(x,y,z) = (\delta/4)^{-3n} \varphi(4x/\delta, 4y/\delta, 4z/\delta).$$

### Smooth truncations

Clearly we have that  $\psi^{\delta} \in C^{\infty}$ ,

$$supp\,\psi^{\delta} \subset \{(x,y,z): \max(|x-y|,|x-z|) \geq \delta\},\$$

 $\psi^{\delta}(x, y, z) = 1$  if  $\max(|x - y|, |x - z|) > 2\delta$ , and  $\|\psi^{\delta}\|_{L^{\infty}} \leq 1$ . Moreover,  $\nabla \psi^{\delta}$  is not zero only if  $\max(|x - y|, |x - z|) \approx \delta$  and  $\|\nabla \psi^{\delta}\|_{L^{\infty}} \leq 1/\delta$ . We define the truncated kernel

$$K^{\delta}(x,y,z) = \psi^{\delta}(x,y,z)K(x,y,z).$$

It follows that  $K^{\delta}$  satisfies the same size and regularity estimates of K with a constant C independent of  $\delta$ . As before, we let  $T^{\delta}(f,g)$  be the operator defined pointwise by  $K^{\delta}$ , now for all  $x \in \mathbb{R}^{n}$ .

Further remarks