

Composition operators on Hardy spaces

Episode III

VI Curso Internacional de Análisis Matemático en Andalucía

Antequera

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3 Other operator ideals

- Schatten classes and approximation numbers.
- Absolutely summing composition operators (work in progress, with L. Rodríguez-Piazza).
- Some open questions...

Schatten Classes

Definition

Let H be a (separable) Hilbert spaces, and T a bounded operator on H . For $p \geq 1$, define the Schatten p -norm of T as

$$\|T\|_{S^p} := \left(\sum_{n \geq 1} \lambda_n^p(|T|) \right)^{1/p} = \left(\text{tr}(|T|^p) \right)^{1/p}$$

where

$\lambda_1(|T|) \geq \lambda_2(|T|) \geq \dots \geq \lambda_n(|T|) \geq \dots$ are the eigenvalues of $|T| = \sqrt{(T^*T)}$.

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Remark: T belongs to S^2 if and only if T is Hilbert-Schmidt.



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Let $n \geq 1$ and $0 \leq j \leq 2^n - 1$:

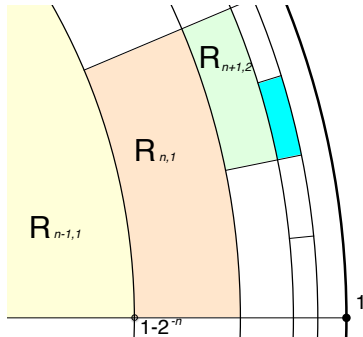
$$R_{n,j} = \left\{ z \in \mathbb{D}; 1 - 2^{-n} \leq |z| < 1 - 2^{-n-1} \quad \text{and} \quad \frac{2j\pi}{2^n} \leq \arg z < \frac{2(j+1)\pi}{2^n} \right\}$$

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(Luecking '87)

We assume that $\lambda_\varphi(\mathbb{T}) = 0$.

$$C_\varphi \in S_p \quad \text{if and only if} \quad \sum_{n \geq 0} \sum_{j=0}^{2^n-1} [2^n \lambda_\varphi(R_{n,j})]^{p/2} < +\infty.$$

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Link with Carleson's measures ? With α -Carleson ?A finite measure μ on \mathbb{D} is α -Carleson if $\rho_\mu(h) = \sup_{\xi \in \mathbb{T}} \mu(W(\xi, h)) = O(h^\alpha)$.

Schatten Classes

A necessary condition

If $C_\varphi \in \mathcal{S}_p$, then $\rho_\varphi(h) = o\left(h\left(\log \frac{1}{h}\right)^{-2/p}\right)$.

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$$\text{If } C_\varphi \in \mathcal{S}_p, \text{ then } \rho_\varphi(h) = o\left(h\left(\log \frac{1}{h}\right)^{-2/p}\right).$$

A sufficient condition

$$\text{If } \lambda_\varphi \text{ is } \alpha\text{-Carleson where } \alpha > 1, \text{ then } C_\varphi \in \mathcal{S}_p \quad \text{for any } p > \frac{2}{\alpha - 1}.$$

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(LLQR '08)

$\forall \alpha \in (1, 2)$, there exist two symbols φ_1 and φ_2 such that $|\varphi_1^*| = |\varphi_2^*|$ (a.e.), with

$$\rho_{\varphi_1}(h) \approx h \quad \text{et} \quad \rho_{\varphi_2}(h) \approx h^\alpha$$

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For any $p > 2$, there exist two symbols φ_1 and φ_2 such that $|\varphi_1^*| = |\varphi_2^*|$ (a.e.), with

$$C_{\varphi_2} \in \mathcal{S}_p \quad \text{but} \quad C_{\varphi_1} \text{ non compact.}$$

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" $p = \infty$ " (cf lecture 2: $\alpha = 3/2$).

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“ $p = \infty$ ” (cf lecture 2: $\alpha = 3/2$). Cannot be true for $p = 2$ (cf lecture 1) !!

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(Li–Queffélec–Rodríguez-Piazza '11-14)

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- Si φ is the lens map (of index $\theta \in (0, 1)$), then

$$e^{-\alpha_\theta \sqrt{n}} \lesssim a_n(C_\varphi) \lesssim e^{-\beta_\theta \sqrt{n}}$$

q -summing operators

Suppose $1 \leq q < +\infty$ and let $T: X \rightarrow Y$ be a (bounded) operator between Banach spaces.

We say T is a **q -summing operator** if there exists $C > 0$ such that

$$\left(\sum_{j=1}^n \|Tx_j\|^q \right)^{1/q} \leq C \sup_{x^* \in B_{X^*}} \left(\sum_{j=1}^n |\langle x^*, x_j \rangle|^q \right)^{1/q} =$$

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- This forms an operator ideal.
- 1-summing operators are also called absolutely summing operators.

a (generic) example

Let (K, ν) a probability space, where K is compact and consider

$$T : \begin{array}{|l} C(K) & \longrightarrow & L^q(K, \nu) \\ f & \longmapsto & f \end{array}$$

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Actually, up to factorizations, any q -summing looks like this:

Pietsch Theorem

(Pietsch '67)

$T: X \rightarrow Y$ is a q -summing operator

if and only if

there exists a (probability) measure ν on the compact (B_{X^*}, w^*) s.t.

$$\forall x \in X, \quad \|T(x)\| \lesssim \left(\int_{B_{X^*}} |\xi(x)|^q d\nu(\xi) \right)^{1/q}$$

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we have the following factorization

$$\begin{array}{ccccc}
 X & & \xrightarrow{T} & Y & \\
 | & & & \uparrow & \tilde{T} \\
 \downarrow & & & | & \\
 \tilde{X} \subset C(B_{X^*}) & \xrightarrow{\text{"id"}} & & X_q \subset L^q(B_{X^*}, \nu) &
 \end{array}$$

for some probability measure ν on B_{X^*} .

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in the following cases:

- For $1 \leq p \leq 2$ and $q_1, q_2 \geq 1$.
- For $p > 2$, and $1 \leq q_1, q_2 < p'$, where p' is the conjugate exponent of p .

A digression

(Grothendieck '56)

Every operator from ℓ^1 to ℓ^2 is absolutely summing.

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given $T: \ell^1 \rightarrow \ell^2$. We factorize $T = P \circ \widetilde{T}$, which is 1-summing.

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When a composition operator $C_\varphi : H^p \rightarrow H^p$ is q -summing ?

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Hence we are interested in the following more general problem:

Assume from now on that μ is concentrated in the open disk \mathbb{D} .

For μ a Carleson measure, when the **Carleson embedding**

$$j_\mu: H^p \hookrightarrow L^p(\mu)$$

is a q -summing operator ?

Known facts

(Shapiro-Taylor '73)

Let $p \geq 2$. The composition operator $C_\varphi: H^p \rightarrow H^p$ is p -summing if and only if

$$\int_{\mathbb{T}} \frac{1}{1 - |\varphi^*|} d\lambda < +\infty.$$

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In particular, the condition implies that j_μ is p -summing for every $p \geq 1$.**But** the converse is false for $p \in [1, 2)$

(Domenig '99)

Let $p \in [1, 2)$. There exist p -summing composition operators on H^p which are not order bounded.

First results: the annulus case.

Let us fix a finite measure μ on \mathbb{D} and an integer n .

We denote by μ_n the restriction of μ to the annulus

$$\Gamma_n = \{z \in \mathbb{D} : 1 - 2^{-n} \leq |z| < 1 - 2^{-n-1}\}$$

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We have, the decomposition

$$\{f \in H^p : f(0) = 0\} = \bigoplus_{n \geq 0} H_n^p$$

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Moreover

$$H_n^p \sim \ell_{2^n}^p$$

the annulus case

Let α_n be the restriction of j_n to H_n^p .

Proposition

For $1 < p < +\infty$, the following quantities are equivalent:

① $\pi_q(j_n: H^p \rightarrow L^p(\mu_n))$

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- ② $\pi_q(\alpha_n: H_n^p \rightarrow L^p(\mu_n))$
- ③ $\pi_q(D_a)$, where $D_a: \ell_{2^n}^p \rightarrow \ell_{2^n}^p$ is the diagonal operator whose multipliers are $a_j = (2^n \mu(R_{n,j}))^{1/p}$ (where $j = 1, 2, \dots, 2^n$).

the annulus case

But the summing norms of multipliers on sequence spaces are known, so:

$$1 < p \leq 2: \quad \pi_q(j_n) \approx \left(\sum_{j=1}^{2^n} [2^n \mu(R_{n,j})]^{2/p} \right)^{1/2}.$$

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How to glue the pieces ?

First results

In some cases, we can glue:

Theorem

In the case $q \geq p \geq 2$ we have:

$$\begin{aligned} \pi_q(j_\mu) &\approx \left(\sum_n [\pi_q(j_n)]^p \right)^{1/p} \approx \left(\sum_{n,j} [2^n \mu(R_{n,j})] \right)^{1/p} \\ &\approx \left(\int_{\mathbb{D}} \frac{1}{1-|z|} d\mu(z) \right)^{1/p}. \end{aligned}$$

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For $p > 2$, the case $1 \leq q < 2$ is still open (our tube of glue is empty...).

The case $p \leq 2$.

Thanks to the Littlewood-Paley decomposition (where $f_n \in H_n^p$)

$$\left\| \sum_n f_n \right\|_{HP} \approx \left\| \left(\sum_n |f_n^*|^2 \right)^{1/2} \right\|_{L^p(\mathbb{T})}$$

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This can be used to prove

$$\left(\sum_n \pi_2(j_n)^2 \right)^{1/2} \lesssim \pi_2(j_\mu) \lesssim \left(\sum_n \pi_2(j_n)^p \right)^{1/p}$$

But none of these two estimates is the correct one.

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Our characterization is of different nature...

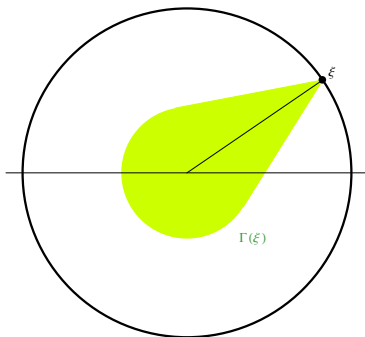
The case $p \leq 2$.

Theorem

Let $1 < p \leq 2$. The Carleson embedding $j_\mu: H^p \rightarrow L^p(\mu)$ is absolutely summing if and only if

$$\int_{\mathbb{T}} \left(\int_{\Gamma(\xi)} \frac{d\mu(z)}{(1-|z|)^{1+p/2}} \right)^{2/p} d\lambda(\xi) < +\infty$$

where $\Gamma(\xi)$ is the Stolz domain in ξ :



The case $p \leq 2$: sketch of proof

Step 1 (via Maurey factorization theorem)

Let $r > 1$ with $1/r + 1/2 = 1/p$ and $T: X \rightarrow L^p(\mu)$ a bounded operator.

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T is a 2-summing operator

if and only if

There exists $F \in L^r(\mu)$, with $F > 0$ μ -a.e., such that $T: X \rightarrow L^2(\nu)$ is well defined and 2-summing, where ν is the measure defined by

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Moreover, we have

$$\begin{aligned} & \pi_2(T: X \rightarrow L^p(\mu)) \\ & \approx \\ & \inf \left\{ \pi_2(T: X \rightarrow L^2(\nu)) : d\nu = d\mu/F^2, F \geq 0, \int F^r d\mu \leq 1 \right\}. \end{aligned}$$

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Step 2

The natural injection $j: H^p \rightarrow L^2(\nu)$ is a 2-summing operator

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In fact we have

$$\pi_2(j: H^p \rightarrow L^2(\nu)) \approx \left(\int_{\mathbb{T}} \left(\int_{\mathbb{D}} \frac{d\nu(z)}{|z-w|^2} \right)^{p'/2} d\lambda(w) \right)^{1/p'}.$$

The case $p \leq 2$: sketch of proof

Step 3

$j_\mu: H^p \rightarrow L^p(\mu)$ is 2-summing if and only if

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if and only if

$$\inf_{F \in B_{L^r/2(\mu)}^+} \sup_{g \in B_{L^t(\mathbb{T})}^+} \int_{\mathbb{T}} \int_{\mathbb{D}} \frac{g(w)}{|z-w|^2 \cdot F(z)} d\mu(z) d\lambda(w) \text{ is finite}$$

where t is the conjugate of $p'/2$, and $1/r + 1/2 = 1/p$.

The case $p \leq 2$: sketch of proof

Step 3

$j_\mu: H^p \rightarrow L^p(\mu)$ is 2-summing if and only if

$$\inf \left\{ \int_{\mathbb{T}} \left(\int_{\mathbb{D}} \frac{d\mu(z)}{|z-w|^2 \cdot F(z)^2} \right)^{p'/2} d\lambda(w) : F \geq 0, \int F^r d\mu \leq 1 \right\} \text{ is finite}$$

if and only if

$$\inf_{F \in B_{L^r/2(\mu)}^+} \sup_{g \in B_{L^t(\mathbb{T})}^+} \int_{\mathbb{T}} \int_{\mathbb{D}} \frac{g(w)}{|z-w|^2 \cdot F(z)} d\mu(z) d\lambda(w) \text{ is finite}$$

where t is the conjugate of $p'/2$, and $1/r + 1/2 = 1/p$.

By Ky Fan's lemma the order of taking the sup and the inf can be interchanged.

The case $p \leq 2$: sketch of proof

Using Fubini and the following result:

Lemma

Let $h: \Omega \rightarrow [0, +\infty)$ be a measurable function on (Ω, Σ, μ) and $p > 0$. Then

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Applying a result of Luecking, Blasco-Jarchow, we get the conclusion.

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There are many other questions of course...

- J. Shapiro: "Composition operators". Springer 1993.

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- C. Cowen, B. McCluer: "Composition operators of analytic functions"
CRC Press 1995.

Merci !