Restriction estimates for some surfaces with vanishing curvatures

Sanghyuk Lee and Ana Vargas

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Stein 70's:
$$S = \{(\xi, \tau) \in K \times \mathbb{R} : \tau = \phi(\xi)\} \subset \mathbb{R}^{n+1}$$

 $\|\widehat{g}_{|\mathcal{S}}\|_{L^{p'}(\mathcal{S})} \leq C \|g\|_{L^{q'}(\mathbb{R}^{n+1})} \quad \text{ for all } \quad g \in \mathcal{S} \, ?$

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$$\widehat{fd\sigma}(x,t) = \int_{K \subset \mathbb{R}^n} f(\xi) e^{-2\pi i (x \cdot \xi + t\phi(\xi))} d\xi$$

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By duality, the restriction inequality is equivalent to

$$\|\widehat{fd\sigma}\|_{L^q(\mathbb{R}^{n+1})} \leq C \|f\|_{L^p(K\subset\mathbb{R}^n)}.$$

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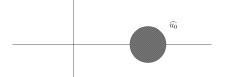
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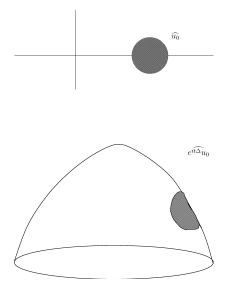
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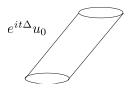
The Knapp counterexample



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Let S_1 , S_2 be transversal subsets of S, with measures $d\sigma_1$, $d\sigma_2$. Are there values $q < \frac{2k+4}{k}$ such that

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Definition. Let $\pm \mathbf{N}(\xi) \in \mathbb{S}^n$ be the unit normal vector of S at ξ . We say that S_1 and S_2 are transversal if, for $\xi_1 \in S_1$ and $\xi_2 \in S_2$,

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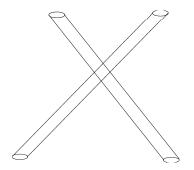
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Sharp result for n non-vanishing positive curvatures Tao (2003)

Bilinear restriction theorems



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Second transversality condition :

$$\dim(T_{\xi}(\Pi_{z_1,z_2}) + \mathcal{N}_{\xi_1}(S_1)) = n,$$
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Best result for cones (n - 1 non-vanishing positive curvatures) Wolff (2001), Tao (2001) Best result for n - 1 non-vanishing curvatures, different signs Lee (2006)

The maps $\mathbf{N}^i : S_i \to \mathbb{S}^n$ have rank k.

From the previous condition one can see that for j = 1, 2, the map \mathbf{N}^{j} which is given by $\xi \in \prod_{z_1, z_2} : \longrightarrow \mathbf{N}^{j}(\xi) \in \mathbb{S}^{n}$ is also of rank k.

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Third transversality condition :

$$\begin{split} \mathbf{N}^{2}(\xi_{2}) \not\in (d\mathbf{N}^{1}(T_{\xi}(\Pi_{z_{1},z_{2}})) + \operatorname{span}\{\mathbf{N}^{1}(\xi_{1})\}, \\ \mathbf{N}^{1}(\xi_{1}) \not\in (d\mathbf{N}^{2}(T_{\xi}(\Pi_{z_{1},z_{2}})) + \operatorname{span}\{\mathbf{N}^{2}(\xi_{2})\} \end{split}$$

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Third transversality condition, equivalent definition : Set

$$\Gamma_2 = \{ t \mathbf{N}^2(\xi) : \xi \in \Pi_{z_1, z_2}, 1 \le |t| \le 2 \}.$$

The third condition equivalently means that any normal vector \mathbf{N}^1 of S_1 is transversal to Γ_2 plus a similar condition for \mathbf{N}^2 and Γ_1 .)

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For the positively curved surfaces (e.g. the cone, sphere, or paraboloid) the third transversality can be obtained from the first separation condition. This is actually the separation condition which was used to obtain the best possible bilinear restriction estimates for the case of nonvanishing curvatures, different signs (Lee, V).

Let $1 \le k \le n-1$. Suppose that S is a smooth compact surface in \mathbb{R}^{n+1} with k-nonvanishing curvatures. If the surfaces S_1 , $S_2 \subset S$ satisfy the three transversality conditions, then for $p > \frac{k+4}{k+2}$

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If we assume only the first and third orthogonality conditions, we prove the estimate for $p > \frac{k+3}{k+1}$. This exponent is sharp.

The model examples

Let us set

$$Q=\{(\xi,\eta,
ho,\delta):|\xi|\leq 1,\;|\eta|\leq 1,\,
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$$Q = \{(\xi, \eta, \rho, \delta) : |\xi| \le 1, \ |\eta| \le 1, \ \rho \in [1, 2], \ \delta \in [1, 2]\} \subset \mathbb{R}^4.$$

$$S = \{(\xi, \eta, \rho, \delta, \phi(\xi, \eta, \rho, \delta)) : (\xi, \eta, \rho, \delta) \in Q\}$$
$$\phi(\xi, \eta, \rho, \delta) = \frac{|\xi|^2}{\rho} - \frac{|\eta|^2}{\delta}.$$

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Note that

$$\phi(\xi,\rho) = \frac{|\xi|^2}{\rho}$$

defines the usual cone.

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Let S_1 , S_2 subsets of S satisfying

$$\left(\frac{\xi_1}{\rho_1}, \frac{\eta_1}{\delta_1}\right) - \left(\frac{\xi_2}{\rho_2}, \frac{\eta_2}{\delta_2}\right) \bigg| \sim 1.$$

Then, for $p > \frac{3}{2}$,

$$\|\widehat{fd\sigma_1}\widehat{gd\sigma_2}\|_{L^p(\mathbb{R}^5)} \leq C \|f\|_{L^2(d\sigma_1)} \|g\|_{L^2(d\sigma_2)}$$

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Theorem

If
$$\frac{2}{q} \leq 1 - \frac{1}{p}$$
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For fixed q, the result is sharp.

Ana Vargas (UAM)

Hipothesis : $|\xi_i| \le 1$, $|\eta_i| \le 1$, $\rho_i \in [1, 2]$, $\delta_i \in [1, 2]$, j = 1, 2. $\left| \left(\frac{\xi_1}{\rho_1}, \frac{\eta_1}{\delta_1} \right) - \left(\frac{\xi_2}{\rho_2}, \frac{\eta_2}{\delta_2} \right) \right| \sim 1.$

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$$\mathbf{N}(\xi,\eta,\rho,\delta) \sim \left(2\frac{\xi}{\rho}, -2\frac{\eta}{\delta}, -\frac{\xi^2}{\rho^2}, \frac{\eta^2}{\delta^2}, -1\right) = \left(2\theta, -2\lambda, -\theta^2, \lambda^2, -1\right)$$

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Orthogonality condition 1 :

 $N(\xi_1, \eta_1, \rho_1, \delta_1)$ and $N(\xi_2, \eta_2, \rho_2, \delta_2)$ are not paralell.

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 $\Pi_{z_1,z_2} = (S_1 + z_1) \cap (S_2 + z_2)$

$$\begin{split} \mathsf{Hipothesis} &: |\xi_j| \leq 1, \ |\eta_j| \leq 1, \ \rho_j \in [1,2], \ \delta_j \in [1,2] \\ & \left| \left(\frac{\xi_1}{\rho_1}, \frac{\eta_1}{\delta_1} \right) - \left(\frac{\xi_2}{\rho_2}, \frac{\eta_2}{\delta_2} \right) \right| \sim 1. \end{split}$$

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 $\pi_{z_1,z_2}: \qquad \phi(u) - \phi(w+u) = t, \quad \text{for } (w,-t) = z_1 - z_2.$ Second transversality condition :

$$dim(T_{\xi}(\Pi_{z_1,z_2}) + \mathcal{N}_{\xi_1}(S_1)) = 4,$$

$$dim(T_{\xi}(\Pi_{z_1,z_2}) + \mathcal{N}_{\xi_2}(S_2)) = 4$$

This reduces to

$$dim(T_{\xi}(\pi_{z_{1},z_{2}}) + span\{\mathcal{N}_{1},\mathcal{M}_{1}\}) = 4,$$
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Image: A matrix

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either
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Finally,

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abla \phi(u+w), \mathcal{N}_1
angle = |rac{\xi_1}{
ho_1} - rac{\xi_2}{
ho_2}|^2.$$

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$$\Gamma_2 = \{ t \mathbf{N}^2(\xi) : \xi \in \Pi_{z_1, z_2}, 1 \le |t| \le 2 \}.$$

Any normal vector \mathbf{N}^1 is transversal to Γ_2 .

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Its tangent plane is spanned by

$$(2, 0, -2\theta_2, 0, 0), (0, 2, 0, -2\lambda_2, 0), (2\theta_2, -2\lambda_2, -|\theta_2|^2, |\lambda_2|^2, -1)$$

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Its tangent plane is spanned by (2,0,-2 θ_2 ,0,0), (0,2,0,-2 λ_2 ,0), (2 θ_2 ,-2 λ_2 ,- $|\theta_2|^2$, $|\lambda_2|^2$,-1)

$$\mathbf{N}^1 \sim (2 heta_1, 2\lambda_1, -| heta_1|^2, -|\lambda_1|^2, -1)$$