# Restriction estimates for some surfaces with vanishing curvatures 

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## Restriction of the Fourier Transform to Hypersurfaces

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Stein 70's : $\quad S=\{(\xi, \tau) \in K \times \mathbb{R}: \quad \tau=\phi(\xi)\} \subset \mathbb{R}^{n+1}$

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By duality, the restriction inequality is equivalent to

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\|\widehat{f d \sigma}\|_{L^{q}\left(\mathbb{R}^{n+1}\right)} \leq C\|f\|_{L^{p}\left(K \subset \mathbb{R}^{n}\right)}
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## Bilinear restriction theorems

Note that: $\|\widehat{f d \sigma}\|_{L^{\prime}} \leq C\|f\|_{L^{2}(d \sigma)} \quad$ for all $f$ and all $q \geq \frac{2 k+4}{k} \quad$ iff
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Sharp result for $n$ non-vanishing positive curvatures Tao (2003)

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Second transversality condition :

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Best result for cones ( $n-1$ non-vanishing positive curvatures) Wolff (2001), Tao (2001)

Best result for $n-1$ non-vanishing curvatures, different signs Lee (2006)

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From the previous condition one can see that for $j=1,2$, the map $\mathbf{N}^{j}$ which is given by $\xi \in \Pi_{z_{1}, z_{2}}: \longrightarrow \mathbf{N}^{j}(\xi) \in \mathbb{S}^{n}$ is also of rank $k$.

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& \mathbf{N}^{1}\left(\xi_{1}\right) \notin\left(d \mathbf{N}^{2}\left(T_{\xi}\left(\Pi_{z_{1}, z_{2}}\right)\right)+\operatorname{span}\left\{\mathbf{N}^{2}\left(\xi_{2}\right)\right\}\right.
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Third transversality condition, equivalent definition: Set

$$
\Gamma_{2}=\left\{t \mathbf{N}^{2}(\xi): \xi \in \Pi_{z_{1}, z_{2}}, 1 \leq|t| \leq 2\right\}
$$

The third condition equivalently means that any normal vector $\mathbf{N}^{1}$ of $S_{1}$ is transversal to $\Gamma_{2}$ plus a similar condition for $\mathbf{N}^{2}$ and $\Gamma_{1}$.)

For the positively curved surfaces (e.g. the cone, sphere, or paraboloid) the third transversality can be obtained from the first separation condition. This is actually the separation condition which was used to obtain the best possible bilinear restriction estimates for the case of nonvanishing curvatures, different signs (Lee, V).

## Theorem

Let $1 \leq k \leq n-1$. Suppose that $S$ is a smooth compact surface in $\mathbb{R}^{n+1}$ with $k$-nonvanishing curvatures. If the surfaces $S_{1}, S_{2} \subset S$ satisfy the three transversality conditions, then for $p>\frac{k+4}{k+2}$

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If we assume only the first and third orthogonality conditions, we prove the estimate for $p>\frac{k+3}{k+1}$. This exponent is sharp.

## The model examples

## Let us set

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Q=\{(\xi, \eta, \rho, \delta):|\xi| \leq 1,|\eta| \leq 1, \rho \in[1,2], \delta \in[1,2]\} \subset \mathbb{R}^{4}
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S=\{(\xi, \eta, \rho, \delta, \phi(\xi, \eta, \rho, \delta)):(\xi, \eta, \rho, \delta) \in Q\}
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Note that

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defines the usual cone.

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Let $S_{1}, S_{2}$ subsets of $S$ satisfying

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\left|\left(\frac{\xi_{1}}{\rho_{1}}, \frac{\eta_{1}}{\delta_{1}}\right)-\left(\frac{\xi_{2}}{\rho_{2}}, \frac{\eta_{2}}{\delta_{2}}\right)\right| \sim 1 .
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Then, for $p>\frac{3}{2}$,

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## Theorem

If $\frac{2}{q} \leq 1-\frac{1}{p}$ and $q>3$, then, there is a constant $C$ such that

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Then, for $p>\frac{3}{2}$,

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\left\|\widehat{f d \sigma_{1}} \widehat{g d \sigma_{2}}\right\|_{L^{p}\left(\mathbb{R}^{5}\right)} \leq C\|f\|_{L^{2}\left(d \sigma_{1}\right)}\|g\|_{L^{2}\left(d \sigma_{2}\right)}
$$

Tao-V-Vega observed (1988) that bilinear restriction theorems imply linear restriction theorems for paraboloids.

## Theorem

If $\frac{2}{q} \leq 1-\frac{1}{p}$ and $q>3$, then, there is a constant $C$ such that

$$
\|\widehat{f d \sigma}\|_{L^{q}\left(\mathbb{R}^{5}\right)} \leq C\|f\|_{L^{p}}
$$

For fixed $q$, the result is sharp.

Hipothesis : $\left|\xi_{j}\right| \leq 1,\left|\eta_{j}\right| \leq 1, \rho_{j} \in[1,2], \delta_{j} \in[1,2], j=1,2$.

$$
\left|\left(\frac{\xi_{1}}{\rho_{1}}, \frac{\eta_{1}}{\delta_{1}}\right)-\left(\frac{\xi_{2}}{\rho_{2}}, \frac{\eta_{2}}{\delta_{2}}\right)\right| \sim 1
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$$
\mathbf{N}(\xi, \eta, \rho, \delta) \sim\left(2 \frac{\xi}{\rho},-2 \frac{\eta}{\delta},-\frac{\xi^{2}}{\rho^{2}}, \frac{\eta^{2}}{\delta^{2}},-1\right)=\left(2 \theta,-2 \lambda,-\theta^{2}, \lambda^{2},-1\right)
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Orthogonality condition 1 :
$\mathbf{N}\left(\xi_{1}, \eta_{1}, \rho_{1}, \delta_{1}\right)$ and $\mathbf{N}\left(\xi_{2}, \eta_{2}, \rho_{2}, \delta_{2}\right)$ are not paralell.

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$\mathcal{N}_{p}(S)$ the span of the eigenvectors with zero eigenvalue of $d \mathbf{N}$ at $p$.

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$\mathcal{N}_{p}(S)$ the span of the eigenvectors with zero eigenvalue of $d \mathbf{N}$ at $p$. The projection of $\mathcal{N}_{p}(S)$ into $\mathbb{R}^{4}$ is the span of

$$
\mathcal{N}=\left(\frac{\xi}{\rho}, 0,1,0\right)=(\theta, 0,1,0), \quad \mathcal{M}=\left(0, \frac{\eta}{\rho}, 0,1\right)=(0, \lambda, 0,1)
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\Pi_{z_{1}, z_{2}} & =\left(S_{1}+z_{1}\right) \cap\left(S_{2}+z_{2}\right)
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Projection into $\mathbb{R}^{n}$

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\pi_{z_{1}, z_{2}}: \quad \phi(u)-\phi(w+u)=t, \quad \text { for }(w,-t)=z_{1}-z_{2} .
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Second transversality condition :

$$
\begin{aligned}
& \operatorname{dim}\left(T_{\xi}\left(\Pi_{z_{1}, z_{2}}\right)+\mathcal{N}_{\xi_{1}}\left(S_{1}\right)\right)=4 \\
& \operatorname{dim}\left(T_{\xi}\left(\Pi_{z_{1}, z_{2}}\right)+\mathcal{N}_{\xi_{2}}\left(S_{2}\right)\right)=4
\end{aligned}
$$

## This reduces to

$$
\begin{gathered}
\operatorname{dim}\left(T_{\xi}\left(\pi_{z_{1}, z_{2}}\right)+\operatorname{span}\left\{\mathcal{N}_{1}, \mathcal{M}_{1}\right\}\right)=4, \\
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either $\left|<\nabla \phi(u)-\nabla \phi(u+w), \mathcal{N}_{1}>\right| \sim 1$
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Finally,

$$
<\nabla \phi(u)-\nabla \phi(u+w), \mathcal{N}_{1}>=\left|\frac{\xi_{1}}{\rho_{1}}-\frac{\xi_{2}}{\rho_{2}}\right|^{2} .
$$

Third transversality condition :
Set

$$
\Gamma_{2}=\left\{t \mathbf{N}^{2}(\xi): \xi \in \Pi_{z_{1}, z_{2}}, 1 \leq|t| \leq 2\right\}
$$

Any normal vector $\mathbf{N}^{1}$ is transversal to $\Gamma_{2}$.

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Its tangent plane is spanned by

$$
\left(2,0,-2 \theta_{2}, 0,0\right),\left(0,2,0,-2 \lambda_{2}, 0\right),\left(2 \theta_{2},-2 \lambda_{2},-\left|\theta_{2}\right|^{2},\left|\lambda_{2}\right|^{2},-1\right)
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$\mathbf{N}^{1} \sim\left(2 \theta_{1}, 2 \lambda_{1},-\left|\theta_{1}\right|^{2},-\left|\lambda_{1}\right|^{2},-1\right)$

