

Rate of growth of D-frequently hypercyclic functions

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Definition

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Examples:

T_a Birkhoff (1929)

D MacLane (1952/53)

Frequently hypercyclic

Definition (Bayart and Grivaux, 2006)

Let X be a topological vector space and $T : X \rightarrow X$ a operator. Then a vector $x \in X$ is called frequently hypercyclic for T if, for every non-empty open subset U of X ,

$$\underline{\text{dens}}\{n \in \mathbb{N} : T^n x \in U\} > 0.$$

The operator T is called frequently hypercyclic if it possesses a frequently hypercyclic vector.

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The lower density of a subset A of \mathbb{N} is defined by

$$\underline{\text{dens}}(A) = \liminf_{N \rightarrow \infty} \frac{\#\{n \in A : n \leq N\}}{N},$$

where $\#$ denotes the cardinality of a set.

A vector $x \in X$ is frequently hypercyclic for an operator T in X if and only if for every non-empty open subset U of X , there is a strictly increasing sequence (n_k) of positive integers and some $C > 0$ such that

$$n_k \leq Ck \quad \text{and} \quad T^{n_k}x \in U \quad \text{para todo } k \in \mathbb{N}.$$

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where $\{B_k\}_{k \geq 1}$ is a numerable basis of open sets.

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In the known examples of FHC operators, in particular, D and T_a $FHC(T)$ is a dense set of first category.

Order of growth and D -frequently hypercyclic function

Given a entire function f is defined for $1 \leq p < \infty$,

$$M_p(f, r) = \left(\frac{1}{2\pi} \int_0^{2\pi} |f(re^{it})|^p dt \right)^{1/p}, r > 0.$$

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Theorem (K.-G. Grosse-Erdmann, 1990)

Let $1 \leq p \leq \infty$.

(a) For any function $\varphi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$ there is a D -hypercyclic entire function f with

$$M_p(f, r) \leq \varphi(r) \frac{e^r}{\sqrt{r}} \quad \text{for } r > 0 \text{ sufficiently large.}$$

(b) There is no D -hypercyclic entire function f that satisfies

$$M_p(f, r) \leq C \frac{e^r}{\sqrt{r}} \quad \text{for } r > 0,$$

where $C > 0$.

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$$|f(z)| \leq Ce^{(1+\varepsilon)r} \text{ for } |z| = r.$$

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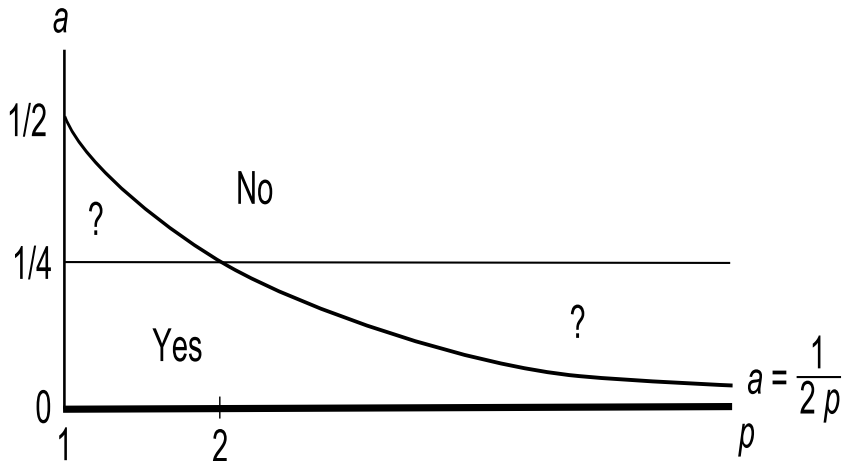
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- $0 \leq a < \frac{1}{2}$: ?

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Theorem (Blasco-B-Grosse-Erdmann, PEMS 2009)

Let $\psi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ be a function with $\psi(r) \rightarrow 0$ as $r \rightarrow \infty$.

a) If $1 \leq p \leq 2$, there is no D -frequently hypercyclic entire function f that satisfies

$$M_p(f, r) \leq \psi(r) \frac{e^r}{r^{1/2p}} \quad \text{for } r > 0 \text{ sufficiently large.}$$

b) If $2 < p \leq \infty$, there is no D -frequently hypercyclic entire function f that satisfies

$$M_p(f, r) \leq \psi(r) \frac{e^r}{r^{1/4}} \quad \text{for } r > 0 \text{ sufficiently large.}$$

Lemma

Let $\psi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ be a function with $\psi(r) \rightarrow 0$ as $r \rightarrow \infty$, $1 < p \leq 2$ and f is an entire function that satisfies

$$M_p(f, r) \leq \psi(r) \frac{e^r}{r^{1/2p}} \quad \text{for } r > 0 \text{ sufficiently large.}$$

Then

$$\frac{1}{m} \sum_{n=0}^m |f^{(n)}(0)|^q \rightarrow 0.$$

where q is the conjugate exponent of p .

Proof of theorem

For $p=1$, is consequence of Grosse-Erdmann's theorem. (There is no D -hypercyclic entire function f that satisfies

$$M_p(f, r) \leq C \frac{e^r}{\sqrt{r}} \quad \text{for } r > 0,$$

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$$\begin{aligned} \underline{\text{dens}}\{n \in \mathbb{N} : f^{(n)} \in U\} &= \liminf_{m \rightarrow \infty} \frac{1}{m} \#\{n \leq m : |f^{(n)}(0)| > 1\} \\ &\leq \liminf_{m \rightarrow \infty} \frac{1}{m} \sum_{n=0}^m |f^{(n)}(0)|^q = 0, \end{aligned}$$

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Then

$$M_2(f, r) = \left(\sum_{n=0}^{\infty} |a_n|^2 r^{2n} \right)^{1/2} \leq \psi(r) \frac{e^r}{r^{1/4}} \quad \text{for } r > 0 \text{ sufficiently large.}$$

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Hence, for big values of r ,

$$\sum_{n=0}^{\infty} |f^{(n)}(0)|^2 \frac{r^{2n+1/2} e^{-2r}}{\psi(r)^2 (n!)^2} \leq C$$

Fix $m \geq 1$. Using Stirling formula, we see that the function

$$r \mapsto \frac{r^{2n+1/2} e^{-2r}}{(n!)^2}$$

has its maximum at $n + 1/4$ of order $1/\sqrt{n}$ and an inflection point at $n + 1/4 \pm \sqrt{\frac{n}{2} + \frac{1}{8}}$. Hence, if $m \leq n < 2m$ then

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has its maximum at $n + 1/4$ of order $1/\sqrt{n}$ and an inflection point at $n + 1/4 \pm \sqrt{\frac{n}{2} + \frac{1}{8}}$. Hence, if $m \leq n < 2m$ then

$$\int_m^{3m} \frac{r^{2n+1/2} e^{-2r}}{\psi(r)^2 (n!)^2} \geq C \frac{1}{\psi(m)^2} \frac{1}{\sqrt{n}} \sqrt{\frac{n}{2} + \frac{1}{8}} \geq C \frac{1}{\psi(m)^2}.$$

Integrating

$$\sum_{n=0}^{\infty} |f^{(n)}(0)|^2 \frac{r^{2n+1/2} e^{-2r}}{\psi(r)^2 (n!)^2} \leq C$$

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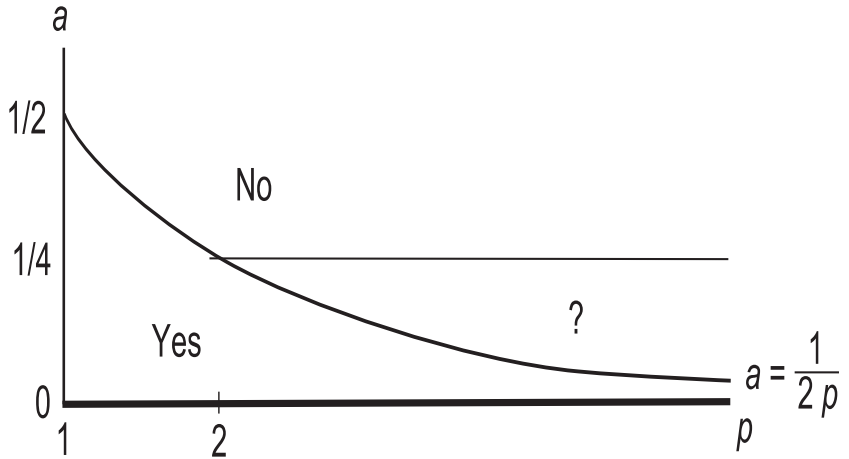
This implies

$$\frac{1}{m} \sum_{n=0}^m |f^{(n)}(0)|^2 \rightarrow 0.$$

If $1 \leq p < 2$, using Hausdorff-Young inequality

$$\left(\sum_{n=0}^{\infty} |a_n|^q r^{qn} \right)^{\frac{1}{q}} \leq M_p \left(\sum_{n=0}^{\infty} a_n z^n, r \right)$$

and the same ideas for $p = 2$.



Theorem (Bonet-B., CAOT 2011)

For any function $\varphi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ with $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$. If $1 \leq p \leq \infty$, there is an entire function D -frequently hypercyclic f with

$$M_p(f, r) \leq \varphi(r) \frac{e^r}{r^{1/2p}} \text{ for } |z| = r \text{ sufficiently large.}$$

For $1 \leq p \leq \infty$ and a weight function v ,

$$B_{p,\infty} = B_{p,\infty}(\mathbb{C}, v) := \{f \in H(\mathbb{C}) : \sup_{r>0} v(r)M_p(f, r) < \infty\}$$

and

$$B_{p,0} = B_{p,0}(\mathbb{C}, v) := \{f \in H(\mathbb{C}) : \lim_{r \rightarrow \infty} v(r)M_p(f, r) = 0\}.$$

These spaces are Banach spaces with the norm

$$\|f\|_{p,v} := \sup_{r>0} v(r)M_p(f, r).$$

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3. The inclusion $B_{p,\infty} \subset H(\mathbb{C})$ is continuous.

Lemma

Let v be a weight function such that $\sup_{r>0} \frac{v(r)}{v(r+1)} < \infty$. Then the differentiation operators $D : B_{p,\infty} \rightarrow B_{p,\infty}$ and $D : B_{p,0} \rightarrow B_{p,0}$ are continuous.

Example: $v(r) = e^{-ar}, r > 0, a > 0$.

Theorem (Grivaux, 2011)

Let T be a bounded operator on a complex separable Banach space X . Suppose that, for any countable set $A \subset \mathbb{T}$, $\text{span}\{\text{Ker}(T - \lambda I) : \lambda \in \mathbb{T} \setminus A\}$ is dense in X . Then T is frequently hypercyclic.

Lemma

The following conditions are equivalent for a weight v and $1 \leq p < \infty$:

- (i) $\{e^{\theta z} : |\theta| = 1\} \subset B_{p,0}$.
- (ii) *There is $\theta \in \mathbb{C}, |\theta| = 1$, such that $e^{\theta z} \in B_{p,0}$.*
- (iii) $\lim_{r \rightarrow \infty} v(r) \frac{e^r}{r^{\frac{1}{2p}}} = 0$

Proof of the Lemma

Consider $f(z) = e^z$, $z \in \mathbb{C}$, and write $z = r(cost + isint)$. Now, we can apply the Laplace methods for integrals, for $r > 0$,

$$2\pi M_p(e^z, r)^p = \int_0^{2\pi} e^{rp \cos t} dt = \left(\frac{\pi}{2rp}\right)^{1/2} e^{rp} + e^{rp} O\left(\frac{1}{rp}\right).$$

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This yields, for a certain constant $c_p > 0$ depending only on p ,

$$M_p(f, r) = c_p \frac{e^r}{r^{\frac{1}{2p}}} + e^r O\left(\frac{1}{r^{\frac{1}{p}}}\right).$$

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This implies that for each $1 \leq p < \infty$ there are $d_p, D_p > 0$ and $r_0 > 0$ such that for each $|\theta| = 1$ and each $r > r_0$

$$d_p \frac{e^r}{r^{\frac{1}{2p}}} \leq M_p(e^{\theta z}, r) \leq D_p \frac{e^r}{r^{\frac{1}{2p}}} \quad (1)$$

Theorem

Let v be a weight function such that $\lim_{r \rightarrow \infty} v(r) \frac{e^r}{r^{\frac{1}{2p}}} = 0$ for some $1 \leq p \leq \infty$. If the differentiation operator $D : B_{p,0} \rightarrow B_{p,0}$ is continuous, then D is frequently hypercyclic.

Idea of the proof

We must to prove for any countable set $A \subset \mathbb{T}$,
 $E_{\mathbb{T} \setminus A} = \text{span}(\{e^{\theta z} : |\theta| = 1, \theta \in \mathbb{T} \setminus A\})$ is contained and dense in $B_{p,0}$.

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To prove the density, we define the following vector valued functions on the closed unit disc $\overline{\mathbb{D}}$:

$$H : \overline{\mathbb{D}} \rightarrow B_{p,0}, H(\zeta)(z) := e^{\zeta z}, \zeta \in \overline{\mathbb{D}}.$$

The function H is well defined, H is holomorphic on \mathbb{D} and $H : \overline{\mathbb{D}} \rightarrow B_{p,0}$ is continuous.

We proceed with the proof that $E_{\mathbb{T} \setminus A}$ is dense in $B_{p,0}$ and apply the Hahn-Banach theorem.

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Since the function $u \circ H$ is holomorphic in \mathbb{D} , continuous at the boundary and vanishes at the points $\zeta \in \mathbb{T} \setminus A$, it is zero in \mathbb{D} . In particular $(u \circ H)^{(n)}(0) = u(H^{(n)}(0)) = u(z^n) = 0$, hence u vanishes on the polynomials. As the polynomials are dense in $B_{p,0}$, we conclude $u = 0$.

Corollary

Let $\varphi(r)$ be a positive function with $\lim_{r \rightarrow \infty} \varphi(r) = \infty$. For each $1 \leq p \leq \infty$ there is an entire function f such that

$$M_p(f, r) \leq \varphi(r) \frac{e^r}{r^{\frac{1}{2p}}}$$

that is frequently hypercyclic for the differentiation operator D on $H(\mathbb{C})$.

Proof

It is possible to find a positive increasing continuous function $\psi(r) \leq \varphi(r)$ with $\lim_{r \rightarrow \infty} \psi(r) = \infty$ and $\sup_{r > 0} \frac{\psi(r+1)}{\psi(r)} < \infty$.

Proof

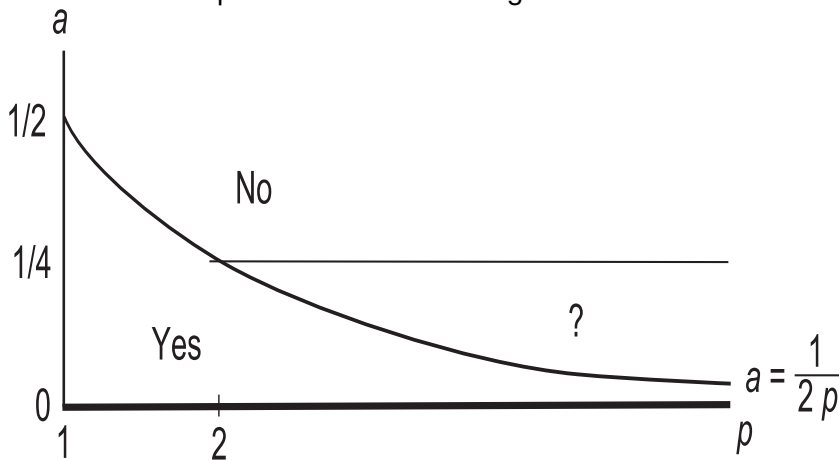
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Define $v(r) = \frac{r^{\frac{1}{2p}}}{\psi(r)e^r}$ for $r \geq r_0$, with r_0 large enough to ensure that $v(r)$ is non increasing on $[r_0, \infty[$, and $v(r) = v(r_0)$ on $[0, r_0]$. One can take $r_0 = \frac{1}{2p}$.

The following diagram represents our knowledge of possible or impossible growth rates e^r/r^a for frequent hypercyclicity with respect to the differentiation operator D two weeks ago.

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Theorem (Drasin-Saksman, in a couple weeks in ArXiv)

For any $C > 0$ there is an entire function D -frequently hypercyclic f with

$$M_p(f, r) \leq C \frac{e^r}{r^{a(p)}}$$

where $a(p) = \frac{1}{4}$ for $p \in [2, \infty]$ and $a(p) = \frac{1}{2p}$ for $p \in (1, 2]$

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The construction is direct with no functional analysis, but uses remarkable polynomials of Rudin-Shapiro and de la Vallée Poussin. (Conference in honour of P. Gauthier and K. Gowrisankaran, June 20-23, 2011)