# A REAL VARIABLE CHARACTERIZATION OF GROMOV HYPERBOLICITY OF FLUTE SURFACES

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ABSTRACT. In this paper we give a characterization of the Gromov hyperbolicity of trains (a large class of Denjoy domains which contains the flute surfaces) in terms of the behavior of a real function. This function describes somehow the distances between some remarkable geodesics in the train. This theorem has several consequences; in particular, it allows to deduce a result about stability of hyperbolicity, even though the original surface and the modified one are not quasi-isometric.

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#### 1. INTRODUCTION.

To understand the connections between graphs and Potential Theory on Riemannian manifolds (see e.g. [4], [10], [13], [21], [22], [23], [24], [29], [30], [34]), Gromov hyperbolic spaces are a useful tool. Besides, the concept of Gromov hyperbolicity grasps the essence of negatively curved spaces, and has been successfully used in the theory of groups (see e.g. [15], [17], [18] and the references therein).

A geodesic metric space is called hyperbolic (in the Gromov sense) if there exists an upper bound of the distance of every point in a side of any geodesic triangle to the union of the two other sides (see Definition 2.3). The latter condition is known as Rips condition.

But, it is not easy to determine whether a given space is Gromov hyperbolic or not. In recent years several investigators have been interested in showing that metrics used in geometric function theory are Gromov hyperbolic. Some specific examples are showing that the Klein-Hilbert metric ([8], [25]) is Gromov hyperbolic (under particular conditions on the domain of definition), that the Gehring-Osgood metric ([20]) is Gromov hyperbolic, and that the Vuorinen metric ([20]) is not Gromov hyperbolic (except for a particular case). Recently, some interesting results by Balogh and Buckley [5] about the hyperbolicity of Euclidean bounded domains with their quasihyperbolic metric have made significant progress in this direction (see also [9], [35] and the references therein). Another interesting instance is that of a Riemann surface endowed with the Poincaré metric. With such metric structure a Riemann surface is always negatively curved, but not every Riemann surface is Gromov hyperbolic, since topological obstacles may impede it: for instance, the two-dimensional jungle-gym (a  $\mathbb{Z}^2$ -covering of a torus with genus two) is not hyperbolic.

We are interested in studying when Riemann surfaces equipped with their Poincaré metric are Gromov hyperbolic (see e.g. [31], [32], [33], [26], [27], [28], [3]). To be more precise, in the current paper our main aim is to study the hyperbolicity of Denjoy domains, that is to say, plane domains  $\Omega$  with  $\partial \Omega \subset \mathbb{R}$ . This kind of

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surfaces are becoming more and more important in Geometric Theory of Functions, since, on the one hand, they are a very general type of Riemann surfaces, and, on the other hand, they are more manageable due to its symmetry. For instance, Garnett and Jones have proved the Corona Theorem for Denjoy domains ([14]), and in [2] the authors have got the characterization of Denjoy domains which satisfy a linear isoperimetric inequiality.

Denjoy domains are such a wide class of Riemann surfaces that characterization criteria are not straightforward to apply. That is the main reason that led us to focus on a particular type of Denjoy domain, which we have called *trains* (see Definition 2.5). Trains do include a especially important case of surfaces which are the flute surfaces (see, e.g. [6], [7]). These ones are the simplest examples of infinite ends, and besides, in a flute surface it is possible to give a fairly precise description of the ending geometry (see, e.g. [19]). In [3] there are some partial results on hyperbolicity of trains.

The main result of this paper, Theorem 4.2, provides a characterization of the hyperbolicity of trains in terms of the behavior of a real function (with two integer parameters). This function describes somehow the distances between some remarkable geodesics in the train. This theorem is such manageable as to allow to deduce a result about stability of hyperbolicity, even though the original surface and the modified one are not quasi-isometric (see Theorem 4.8).

Theorem 4.2 allows to deduce also both sufficient and necessary conditions that either guarantee or discard hyperbolicity (see Theorems 4.14, 4.16 and 4.17). Besides, these three theorems give a much simpler characterization (see Theorem 4.18) than Theorem 4.2 for an interesting case of trains.

Theorem 4.22 gives some answers to the following question: how do some perturbations affect on the hyperbolicity of a flute surface?

**Notations.** We denote by X a geodesic metric space. By  $d_X$  and  $L_X$  we shall denote, respectively, the distance and the length in the metric of X. From now on, when there is no possible confusion, we will not write the subindex X.

We denote by  $\Omega$  a train with its Poincaré metric.

Given a subset F of the complex plane, we define  $F^+ = F \cap \{z \in \mathbb{C} : \Im z \ge 0\}$ , where  $\Im z$  is the imaginary part of z.

If E is either a function or constant related to a domain  $\Omega$ , we will denote by E' or E<sup>j</sup> the same function or constant related to a domain  $\Omega'$  or  $\Omega^j$ , respectively.

Finally, we denote by c and  $c_i$ , positive constants which can assume different values in different theorems.

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2. BACKGROUND IN GROMOV SPACES AND RIEMANN SURFACES.

In our study of hyperbolic Gromov spaces we use the notations of [15]. We give now the basic facts about these spaces. We refer to [15] for more background and further results.

**Definition 2.1.** Let us fix a point w in a metric space (X, d). We define the Gromov product of  $x, y \in X$  with respect to the point w as

$$(x|y)_w := \frac{1}{2} \left( d(x,w) + d(y,w) - d(x,y) \right) \ge 0.$$

We say that the metric space (X, d) is  $\delta$ -hyperbolic  $(\delta \geq 0)$  if

$$(x|z)_w \ge \min\left\{(x|y)_w, (y|z)_w\right\} - \delta,$$

for every  $x, y, z, w \in X$ . We say that X is hyperbolic (in the Gromov sense) if the value of  $\delta$  is not important.

It is convenient to remark that this definition of hyperbolicity is not universally accepted, since sometimes the word hyperbolic refers to negative curvature or to the existence of Green's function. However, in this paper we only use the word *hyperbolic* in the sense of Definition 2.1.

#### **Examples:**

- (1) Every bounded metric space X is (diamX)-hyperbolic (see e.g. [15, p. 29]).
- (2) Every complete simply connected Riemannian manifold with sectional curvature which is bounded from above by -k, with k > 0, is hyperbolic (see e.g. [15, p. 52]).

(3) Every tree with edges of arbitrary length is 0-hyperbolic (see e.g. [15, p. 29]).

**Definition 2.2.** If  $\gamma : [a, b] \longrightarrow X$  is a continuous curve in a metric space (X, d), the length of  $\gamma$  is

$$L(\gamma) := \sup \left\{ \sum_{i=1}^{n} d(\gamma(t_{i-1}), \gamma(t_i)) : a = t_0 < t_1 < \dots < t_n = b \right\}.$$

We say that  $\gamma$  is a geodesic if it is an isometry, i.e.  $L(\gamma|_{[t,s]}) = d(\gamma(t), \gamma(s)) = |t - s|$  for every  $s, t \in [a, b]$ . We say that X is a geodesic metric space if for every  $x, y \in X$  there exists a geodesic joining x and y; we denote by [x, y] any of such geodesics (since we do not require uniqueness of geodesics, this notation is ambiguous, but convenient as well).

**Definition 2.3.** Consider a geodesic metric space X. If  $x_1, x_2, x_3 \in X$ , a geodesic triangle  $T = \{x_1, x_2, x_3\}$  is the union of three geodesics  $[x_1, x_2]$ ,  $[x_2, x_3]$  and  $[x_3, x_1]$ . We say that T is  $\delta$ -thin if for every  $x \in [x_i, x_j]$  we have that  $d(x, [x_j, x_k] \cup [x_k, x_i]) \leq \delta$ . The space X is  $\delta$ -thin (or satisfies the Rips condition with constant  $\delta$ ) if every geodesic triangle in X is  $\delta$ -thin.

As the following basic result states, hyperbolicity is equivalent to Rips condition:

**Theorem 2.4.** ([15, p. 41]) Let us consider a geodesic metric space X.

- (1) If X is  $\delta$ -hyperbolic, then it is  $4\delta$ -thin.
- (2) If X is  $\delta$ -thin, then it is  $4\delta$ -hyperbolic.

A non-exceptional Riemann surface S is a Riemann surface whose universal covering space is the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ , endowed with its Poincaré metric, i.e. the metric obtained by projecting the Poincaré metric of the unit disk  $ds = 2|dz|/(1 - |z|^2)$ . Therefore, any simply connected subset of Sis isometric to a subset of  $\mathbb{D}$ . With this metric, S is a geodesically complete Riemannian manifold with constant curvature -1, and therefore S is a geodesic metric space. The only Riemann surfaces which are left out are the exceptional Riemann surfaces, that is to say, the sphere, the plane, the punctured plane and the tori. It is easy to study the hyperbolicity of these particular cases. The Poincaré metric is natural and useful in Complex Analysis: for instance, any holomorphic function between two domains is Lipschitz with constant 1, when we consider the respective Poincaré metrics.

A Denjoy domain is a domain  $\Omega$  in the Riemann sphere with  $\partial \Omega \subset \mathbb{R} \cup \{\infty\}$ . As we mentioned in the introduction of this paper, Denjoy domains are becoming more and more interesting in Geometric Function Theory (see e.g. [1], [2], [14], [16]).

It is obvious that as we focus on more particular kind of surfaces, we can obtain more powerful results. That is the reason because we introduce now a new type of space.

We have used the word *geodesic* in the sense of Definition 2.2, that is to say, as a global geodesic or a minimizing geodesic; however, we need now to deal with a special type of local geodesics: simple closed geodesics, which obviously can not be minimizing geodesics. We will continue using the word geodesic with the meaning of Definition 2.2, unless we are dealing with closed geodesics.

**Definition 2.5.** A train is a Denjoy domain  $\Omega \subset \mathbb{C}$  with  $\Omega \cap \mathbb{R} = \bigcup_{n=0}^{\infty} (a_n, b_n)$ , such that  $-\infty \leq a_0$  and  $b_n \leq a_{n+1}$  for every n. A flute surface is a train with  $b_n = a_{n+1}$  for every n.

We say that a curve in a train  $\Omega$  is a fundamental geodesic if it is a simple closed geodesic which just intersects  $\mathbb{R}$  in  $(a_0, b_0)$  and  $(a_n, b_n)$  for some n > 0; we denote by  $\gamma_n$  the fundamental geodesic corresponding to n and  $2l_n := L_{\Omega}(\gamma_n)$ . A curve in a train  $\Omega$  is a second fundamental geodesic if it is a simple closed geodesic which just intersects  $\mathbb{R}$  in  $(a_n, b_n)$  and  $(a_{n+1}, b_{n+1})$  for some  $n \ge 0$ ; we denote by  $\sigma_n$  the second fundamental geodesic corresponding to n and  $2r_n := L_{\Omega}(\sigma_n)$ . If  $b_n = a_{n+1}$ , we define  $\sigma_n$  as the puncture at this point and  $r_n = 0$ . Given  $z \in \Omega$ , we define the height of z as  $h(z) := d_{\Omega}(z, (a_0, b_0))$ .

A train is a flute surface if and only if every second fundamental geodesic is a puncture.

Flute surfaces are the simplest examples of infinite ends; furthermore, in a flute surface it is possible to give a fairly precise description of the ending geometry (see, e.g. [19]).

#### 3. TRIGONOMETRIC LEMMAS.

In this section some technical lemmas are collected. All of them will be used in order to simplify the proof of Theorem 4.2.

**Definition 3.1.** Given a surface M, a geodesic  $\gamma$  in M, and a continuous unit vector field  $\xi$  along  $\gamma$ , orthogonal to  $\gamma$ , we define the Fermi coordinates based on  $\gamma$  as the map  $E(u, v) := \exp_{\gamma(u)} v\xi(u)$ .

It is well known that the Riemannian metric can be expressed in Fermi coordinates as  $ds^2 = dv^2 + \eta^2(u, v) du^2$ , where  $\eta(u, v)$  is the solution of the scalar equation  $\partial^2 \eta / \partial v^2 + K\eta = 0$ ,  $\eta(u, 0) = 1$ ,  $\partial \eta / \partial v(u, 0) = 0$ , and K is the curvature of M (see e.g. [11, p. 247]). Consequently, if M is a non-exceptional Riemann surface, the Poincaré metric in Fermi coordinates (based on any geodesic  $\gamma$ ) is  $ds^2 = dv^2 + \cosh^2 v du^2$ , since K = -1 in the Poincaré metric. We always consider in a train the Fermi coordinates based on  $(a_0, b_0)$ .

**Definition 3.2.** Let us consider Fermi coordinates (u, v) in  $\mathbb{D}$ . We define the distances  $d_1((u_1, v_1), (u_2, v_2))$ ,  $d_2((u_1, v_1), (u_2, v_2))$  as follows: without loss of generality we can assume that  $v_1 \ge v_2$ ; then

$$\begin{aligned} d_1\big((u_1, v_1), (u_2, v_2)\big) &:= d\big((u_1, v_1), (u_1, v_2)\big) + d\big((u_1, v_2), (u_2, v_2)\big) = v_1 - v_2 + d\big((u_1, v_2), (u_2, v_2)\big), \\ d_2\big((u_1, v_1), (u_2, v_2)\big) &:= d\big((u_1, v_1), (u_2, v_1)\big) + d\big((u_2, v_1), (u_2, v_2)\big) = d\big((u_1, v_1), (u_2, v_1)\big) + v_1 - v_2. \end{aligned}$$

The following lemma shows that the "cartesian distances"  $d_1$  and  $d_2$  are comparable to d.

**Lemma 3.3.** Let us consider Fermi coordinates (u, v) in  $\mathbb{D}$  and the distances  $d_1$  and  $d_2$ . Then

$$\frac{1}{2} d_1 \le d \le d_1 \,, \qquad \frac{1}{3} d_2 \le d \le d_2 \,.$$

*Proof.* Triangle inequality gives directly  $d \le d_1$  and  $d \le d_2$ . Let us consider  $v_1 \ge v_2$ . It is easy to check that  $d((u_1, v_1), (u_1, v_2)) \le d((u_1, v_1), (u_2, v_2)), \qquad d((u_1, v_2), (u_2, v_2)) \le d((u_1, v_1), (u_2, v_2))$ 

and this implies  $d_1 \leq 2d$ .

We also have  $d((u_2, v_1), (u_2, v_2)) \leq d((u_1, v_1), (u_2, v_2))$ , and then

$$d((u_1, v_1), (u_2, v_1)) \le d((u_1, v_1), (u_2, v_2)) + d((u_2, v_1), (u_2, v_2)) \le 2d((u_1, v_1), (u_2, v_2)),$$
  
$$d_2((u_1, v_1), (u_2, v_2)) = d((u_1, v_1), (u_2, v_1)) + d((u_2, v_1), (u_2, v_2)) \le 3d((u_1, v_1), (u_2, v_2)).$$

**Lemma 3.4.** Let  $\Omega$  be a train and  $l_0$  any positive constant. We have

$$d_1(z, \gamma_n \cap (a_n, b_n)) \le 2 d_\Omega(z, (a_n, b_n)) + 2 \operatorname{Arcsinh} \frac{1}{\sqrt{2 \tanh l_0}}$$

for every n > 0 and  $z \in \Omega$  with  $l_0 \leq h(z) \leq l_n$ .

*Proof.* Let w be the nearest point in  $(a_n, b_n)$  to z, and define  $v := \gamma_n \cap (a_n, b_n)$ , let  $v_0$  be the nearest point in  $(a_0, b_0)$  to v and  $w_0$  the nearest point in  $(a_0, b_0)$  to w. Consider the geodesic quadrilateral in  $\Omega^+$  with vertices v, w,  $w_0$  and  $v_0$ . Standard hyperbolic trigonometry gives that

 $\tanh d_{\Omega}(w, w_0) = \tanh d_{\Omega}(v, v_0) \cosh d_{\Omega}(v_0, w_0) = \tanh l_n \cosh d_{\Omega}(v_0, w_0).$ 

Denote by v' (respectively w') the point in  $\gamma_n^+ = [v, v_0] \subset \Omega^+$  (respectively in  $[w, w_0] \subset \Omega^+$ ) with h(v') = h(z)(respectively h(w') = h(z)). Consider the geodesic quadrilateral in  $\Omega$  with vertices v', w',  $w_0$  and  $v_0$ . Standard hyperbolic trigonometry (see e.g. [12, p. 88]) gives that

$$\sinh \frac{d_{\Omega}(v', w')}{2} = \sinh \frac{d_{\Omega}(v_0, w_0)}{2} \cosh h(z) = \cosh h(z) \sqrt{\frac{\cosh d_{\Omega}(v_0, w_0) - 1}{2}}$$
$$= \frac{1}{\sqrt{2}} \cosh h(z) \sqrt{\frac{\tanh d_{\Omega}(w, w_0)}{\tanh l_n} - 1} \le \frac{1}{\sqrt{2}} \cosh h(z) \sqrt{\frac{1}{\tanh h(z)} - 1}$$
$$= \frac{1}{\sqrt{2}} \cosh h(z) \sqrt{\frac{1 - \tanh^2 h(z)}{\tanh h(z)}} = \frac{1}{\sqrt{2} \tanh h(z)} \le \frac{1}{\sqrt{2} \tanh l_0} .$$

This fact and Lemma 3.3 imply

$$\begin{aligned} d_1(z,v) &= d_\Omega(z,v') + d_\Omega(v',v) \le d_\Omega(v',w') + d_\Omega(z,w') + d_\Omega(w',w) \\ &\le 2\operatorname{Arcsinh} \frac{1}{\sqrt{2\tanh l_0}} + d_1(z,w) \le 2\,d_\Omega(z,w) + 2\operatorname{Arcsinh} \frac{1}{\sqrt{2\tanh l_0}} \,. \end{aligned}$$

**Lemma 3.5.** Let us consider Fermi coordinates (u, v) in  $\mathbb{D}$ . Fix  $u_1 < u_4$ ,  $g_1 := \{(u, v) : u = u_1, 0 \le v \le x\}$ ,  $g_4 := \{(u, v) : u = u_4, v \ge 0\}$ , and  $g_2$  the (infinite) geodesic orthogonal to  $g_1$  in  $(u_1, x)$ . We assume that  $g_2$  does not intersects  $g_4$ . Consider  $(u_4, h) \in g_4$ , with  $h \ge x$ , and  $(u_2, v_2) \in g_2$ , with  $d((u_2, v_2), (u_4, h)) = d(g_2, (u_4, h))$ . Then

$$d(g_2, (u_4, h)) \le d(g_2, (u_3, h)) + d((u_3, h), (u_4, h)) \le 6 d(g_2, (u_4, h)),$$

for every  $u_2 \leq u_3 \leq u_4$ .

*Proof.* We only need to prove the second inequality. Fix  $u_3 \in [u_2, u_4]$ . Let us assume that  $v_2 \leq h$ . Then Lemma 3.3 implies

$$d(g_{2},(u_{3},h)) + d((u_{3},h),(u_{4},h)) \leq d((u_{2},v_{2}),(u_{2},h)) + d((u_{2},h),(u_{3},h)) + d((u_{3},h),(u_{4},h))$$
  
$$\leq d((u_{2},v_{2}),(u_{2},h)) + 2d((u_{2},h),(u_{4},h))$$
  
$$\leq 2d_{2}((u_{2},v_{2}),(u_{4},h)) \leq 6d((u_{2},v_{2}),(u_{4},h)) = 6d(g_{2},(u_{4},h)).$$

Let us assume now that  $v_2 \ge h$ . Lemma 3.3 also implies

$$d(g_{2},(u_{3},h)) + d((u_{3},h),(u_{4},h)) \leq d((u_{2},v_{2}),(u_{2},h)) + d((u_{2},h),(u_{3},h)) + d((u_{3},h),(u_{4},h))$$
  
$$\leq d((u_{2},v_{2}),(u_{2},h)) + 2d((u_{2},h),(u_{4},h))$$
  
$$\leq 2d_{1}((u_{2},v_{2}),(u_{4},h)) \leq 4d((u_{2},v_{2}),(u_{4},h)) = 4d(g_{2},(u_{4},h)).$$

**Lemma 3.6.** Let us define F as

$$F(a,x) := \begin{cases} \frac{1}{\sinh 1} \sinh a \cosh x, & \text{if } 0 \le a \le 1, \\ \log \left( \sinh a \cosh x \right), & \text{if } a \ge 1. \end{cases}$$

Then

$$F(a, x) \le a e^x \le 2 \sinh a \cosh x$$
,

for every  $a, x \ge 0$ .

*Proof.* The last inequality is a direct consequence of  $a \leq \sinh a$  and  $e^x \leq 2 \cosh x$ .

If  $a \ge 1$ , the function  $h(x) := a e^x - a - x$  satisfies  $h'(x) = a e^x - 1 \ge a - 1 \ge 0$  for every  $x \ge 0$ . Hence,  $h(x) \ge h(0) = 0$  for every  $x \ge 0$ , and we conclude

$$a e^x \ge a + x = \log(e^a e^x) \ge \log(\sinh a \cosh x),$$

for  $a \ge 1$  and  $x \ge 0$ .

Since the function  $H(a) := \sinh a - a \sinh 1$  is convex in [0, 1], it satisfies  $H(a) \le \max\{H(0), H(1)\} = 0$  for every  $0 \le a \le 1$ . Hence,

$$a e^x \ge \frac{1}{\sinh 1} \sinh a e^x \ge \frac{1}{\sinh 1} \sinh a \cosh x$$
,

for  $0 \le a \le 1$  and  $x \ge 0$ .

This result has the following direct corollary.

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**Corollary 3.7.** For a set  $E \subset \{(a, x) : a, x \ge 0\}$ , we have  $\operatorname{Arcsinh}(\sinh a \cosh x) \le c_1$ , for every  $(a, x) \in E$  and some constant  $c_1$ , if and only if  $a e^x \le c_2$ , for every  $(a, x) \in E$  and some constant  $c_2$ .

Furthermore, if one of the inequalities holds, the constant in the other inequality only depends on the first constant.

As usual, we denote by  $x_+$  the positive part of x:  $x_+ := x$  if  $x \ge 0$  and  $x_+ := 0$  if x < 0.

## Proposition 3.8.

(1) There exists a universal constant  $c_1$  such that

$$f(x, y, t) := \operatorname{Arccosh} \frac{\cosh t + \cosh x \cosh y}{\sinh x \sinh y} \ge c_1 \left( e^{-x} + e^{-y} + e^{-\frac{1}{2}(x+y-t)_+} + (t-x-y)_+ \right),$$

for every  $x, y, t \ge 0$ .

(2) For each  $l_0 > 0$ , there exists a constant  $c_2$ , which only depends on  $l_0$ , such that

$$\operatorname{Arccosh} \frac{\cosh t + \cosh x \cosh y}{\sinh x \sinh y} \le c_2 \left( e^{-x} + e^{-y} + e^{-\frac{1}{2}(x+y-t)_+} + (t-x-y)_+ \right),$$

for every  $t \ge 0$  and  $x, y \ge l_0$ .

**Remark.** This result is interesting by itself: if H is a right-angled hexagon in the unit disk for which three pairwise non-adjacent sides X, Y, T are given (with respective lengths x, y, t), then the opposite side of T in H has length f(x, y, t) (see e.g. [12, p. 86], or the proof of Theorem 4.2).

*Proof.* First, we remark that if  $x \ge l_0$ , then  $e^{-2l_0}e^{2x} \ge 1$  and  $e^{2x} - 1 \ge (1 - e^{-2l_0})e^{2x}$ . Therefore, if we define  $c_3^{-1} := (1 - e^{-2l_0})/2$ , we have

$$e^{2x} - 1 \ge 2c_3^{-1}e^{2x}$$
,  $\sinh x \ge c_3^{-1}e^x$ ,  $\coth x = 1 + \frac{2}{e^{2x} - 1} \le 1 + c_3 e^{-2x}$ , for every  $x \ge l_0$ .

We also have

$$\operatorname{coth} x = 1 + \frac{2}{e^{2x} - 1} \ge 1 + 2e^{-2x}$$
, for every  $x \ge 0$ .

Let us start with the proof of item (1).

If  $f \ge 3$ , then  $f \ge e^{-x} + e^{-y} + e^{-\frac{1}{2}(x+y-t)+}$ . If  $f \le 3$ , then  $1 + \frac{2}{3}c_4^{-2}f^2 \ge \cosh f$ , for some universal constant  $c_4 \le 1$ , and

$$\begin{aligned} 1 + \frac{2}{3} c_4^{-2} f^2 &\geq \cosh f \geq 2 e^{t-x-y} + \coth x \coth y \geq 2 e^{-(x+y-t)} + \left(1+2 e^{-2x}\right) \left(1+2 e^{-2y}\right) \\ 1 + \frac{2}{3} c_4^{-2} f^2 &\geq 1+2 \left(e^{-2x} + e^{-2y} + e^{-(x+y-t)_+}\right), \\ c_4^{-1} f &\geq \sqrt{3} \sqrt{e^{-2x} + e^{-2y} + e^{-(x+y-t)_+}} \geq e^{-x} + e^{-y} + e^{-\frac{1}{2}(x+y-t)_+}, \\ f &\geq c_4 \left(e^{-x} + e^{-y} + e^{-\frac{1}{2}(x+y-t)_+}\right), \end{aligned}$$

where we have used the inequality  $\sqrt{3}\sqrt{a+b+c} \ge \sqrt{a} + \sqrt{b} + \sqrt{c}$ , for every  $a, b, c \ge 0$ . This inequality is (1) if  $t \le x + y$ . If  $t \ge x + y$ , then

$$\cosh f > \frac{\cosh t}{\sinh x \sinh y} + 1 \ge 2e^{t-x-y} + 1 > \frac{4}{2}e^{t-x-y} + \frac{1}{4\cdot 2}e^{-(t-x-y)} = \cosh\left(t - x - y + \log 4\right)$$

and  $f > t - x - y + \log 4 > (t - x - y)_{+} + e^{-\frac{1}{2}(x + y - t)_{+}}$ .

Consequently we have

$$f \ge c_1 \left( e^{-x} + e^{-y} + e^{-\frac{1}{2}(x+y-t)_+} + (t-x-y)_+ \right),$$

for every  $x, y, t \ge 0$ , with  $c_1 := c_4/2$ , since  $c_4 \le 1$ .

Next, let us prove item (2). Fix  $l_0 > 0$ . We have seen that  $\sinh x \ge c_3^{-1} e^x$  and  $\coth x \le 1 + c_3 e^{-2x}$ , for every  $x \ge l_0$ .

Let us assume  $t \ge x + y$ . If  $x, y \ge l_0$ , then

$$\frac{1}{2}e^f \le \cosh f = \frac{\cosh t + \cosh x \cosh y}{\sinh x \sinh y} \le c_3^2 e^{t-x-y} + \operatorname{cotanh}^2 l_0.$$

Consequently,

$$e^{f} \le 2 c_{3}^{2} e^{t-x-y} + 2 \operatorname{cotanh}^{2} l_{0} \le e^{t-x-y+c_{5}}$$

with  $c_5 := \log \left(2 c_3^2 + 2 \operatorname{cotanh}^2 l_0\right)$ , since  $t - x - y \ge 0$ . Hence,  $f \le t - x - y + c_5 = (t - x - y)_+ + c_5 e^{-\frac{1}{2}(x + y - t)_+}$ , for every  $t \ge 0$  and  $x, y \ge l_0$  with  $t \ge x + y$ .

Let us assume  $t \leq x + y$ . If  $x, y \geq l_0$ , then

$$\begin{aligned} 1 + \frac{1}{2} f^2 &\leq \cosh f \leq c_3^2 e^{t-x-y} + \operatorname{cotanh} x \operatorname{cotanh} y \leq c_3^2 e^{t-x-y} + \left(1 + c_3 e^{-2x}\right) \left(1 + c_3 e^{-2y}\right), \\ \frac{1}{2} f^2 &\leq c_3^2 e^{t-x-y} + c_3 e^{-2x} + c_3 e^{-2y} + c_3^2 e^{-2x-2y}, \\ \frac{1}{2} f^2 &\leq c_3^2 e^{t-x-y} + c_3 e^{-2x} + c_3 e^{-2y} + \frac{1}{2} c_3^2 \left(e^{-2x} + e^{-2y}\right), \\ f^2 &\leq 2 c_3^2 e^{-(x-y-t)} + \left(2 c_3 + c_3^2\right) e^{-2x} + \left(2 c_3 + c_3^2\right) e^{-2y}, \\ f^2 &\leq c_6^2 \left(e^{-2x} + e^{-2y} + e^{-(x+y-t)+}\right), \\ f &\leq c_6 \left(e^{-x} + e^{-y} + e^{-(x+y-t)+} + (t-x-y)_+\right), \end{aligned}$$

where  $c_6^2 := \max\{2c_3^2, 2c_3 + c_3^2\}$ , for every  $t \ge 0$  and  $x, y \ge l_0$  with  $t \le x + y$ . Then we have (2) with  $c_2 := \max\{1, c_5, c_6\}.$ 

**Proposition 3.9.** For each  $l_0 > 0$ , we have

$$F(x,y,t,h) := \operatorname{Arcsinh} \frac{\cosh x \cosh(y-h) + \cosh t \cosh h}{\sinh y} \approx e^{-h+x} + e^{-(y-h-t)_+} + (t+h-y)_+,$$

for every  $x, y, t, h \ge 0$ , verifying  $y \ge h \ge x$  and  $y \ge l_0$ . Furthermore, the constants in the inequalities only depend on  $l_0$ .

**Remark.** This result is interesting by itself: if H is a right-angled hexagon in the unit disk for which three pairwise non-adjacent sides X, Y, T are given (with respective lengths x, y, t), P is the nearest point to X in Y, and  $P_h$  is the point in Y with  $d(P_h, P) = h$ , then F(x, y, t, h) is the distance between  $P_h$  and the opposite side of Y in H (see the proof of Theorem 4.2).

*Proof.* We have seen that if  $y \ge l_0$ , and  $c_3^{-1} := (1 - e^{-2l_0})/2$ , we have  $c_3^{-1}e^y \le \sinh y \le e^y/2$ . We also have  $e^{z}/2 \leq \cosh z \leq e^{z}$ , for every  $z \geq 0$ . Then  $\sinh F \approx e^{-h+x} + e^{-y+h+t}$ , since  $y \geq l_0$  and  $y \geq h$ , and the constants in the inequalities only depend

on  $l_0$ .

If  $h + t \leq y$ , then  $e^{-h+x} + e^{-y+h+t} \leq 2$ , and

$$F \asymp \sinh F \asymp e^{-h+x} + e^{-(y-h-t)} = e^{-h+x} + e^{-(y-h-t)_+} + (t+h-y)_+ .$$

If h+t > y, then  $e^{-h+x} + e^{-y+h+t} > 1$ , and

$$e^F \approx \sinh F \approx e^{-h+x} + e^{-y+h+t} \approx e^{t+h-y} = e^{-1}e^{1+(t+h-y)_+}$$

Since

$$F \ge \operatorname{Arcsinh} \frac{\left(e^{x}e^{y-h} + e^{t}e^{h}\right)/4}{e^{y}/2} \ge \operatorname{Arcsinh} \frac{1}{2}\left(e^{-h+x} + e^{-y+h+t}\right) \ge \operatorname{Arcsinh} \frac{1}{2} > 0\,,$$

and  $1 + (t + h - y)_+ \ge 1 > 0$  for every  $x, y, t, h \ge 0$ , and  $e^F \approx e^{1 + (t + h - y)_+}$  for every  $x, y, t, h \ge 0$ , verifying  $h+t \ge y \ge h \ge x$  and  $y \ge l_0$ , we obtain that  $F \asymp 1+(t+h-y)_+$ . Since  $1 \le e^{-h+x}+1 = e^{-h+x}+e^{-(y-h-t)_+} \le 2$ , we also conclude that  $F \asymp e^{-h+x} + e^{-(y-h-t)_+} + (t+h-y)_+$ , if  $h+t \ge y$ .

The following corollary can be directly deduced from this result.

**Corollary 3.10.** For each  $l_0 > 0$ , let us consider a set  $E \subset \{(x, y, t, h) : x, y, t, h \ge 0, y \ge h \ge x, y \ge l_0\}$ . We have  $F(x, y, t, h) \le c_1$ , for every  $(x, y, t, h) \in E$  and some constant  $c_1$ , if and only if  $(t + h - y)_+ \le c_2$ , for every  $(x, y, t, h) \in E$  and some constant  $c_2$ .

Furthermore, if one of the inequalities holds, the constant in the other inequality only depends on the first constant and  $l_0$ .

Obviously, we can replace condition  $(t + h - y)_+ \le c_2$  by  $t + h - y \le c_2$ . We prefer the first one since F will be a distance and  $(t + h - y)_+ \ge 0$ .

#### 4. The main results.

It is not difficult to see that the values of  $\{l_n\}$  and  $\{r_n\}$  determine a train. Then there must be a characterization of hyperbolicity in terms of the lengths of the fundamental geodesics.

In order to obtain this characterization, we need to introduce the following functions.

**Definition 4.1.** Let us consider a sequence of positive numbers  $\{l_n\}_{n=1}^{\infty}$  and a sequence of non-negative numbers  $\{r_n\}_{n=1}^{\infty}$ . Consider  $n \ge 1$  and  $0 \le h \le l_n$ . We define  $A_n(h) := \max\{m < n : l_m \le h\}$  if this set is non-empty and  $A_n(h) := 1$  in other case,  $B_n(h) := \min\{m > n : l_m \le h\}$  if this set is non-empty and  $B_n(h) := \infty$  in other case,

$$\Delta(k) := e^{-l_k} + e^{-l_{k+1}} + e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+$$

and

$$\Gamma_{nm}(h) := \begin{cases} \left(r_m + h - l_{m+1}\right)_+ + e^h \sum_{k=m+1}^{n-1} \Delta(k), & \text{if } m < n \text{ and } l_m \le h, \\ l_m - h + e^h \sum_{k=m}^{n-1} \Delta(k), & \text{if } m < n \text{ and } l_m > h, \\ \min\left\{h, l_n - h\right\}, & \text{if } m = n, \\ l_m - h + e^h \sum_{k=n}^{m-1} \Delta(k), & \text{if } m > n \text{ and } l_m > h, \\ \left(r_{m-1} + h - l_{m-1}\right)_+ + e^h \sum_{k=n}^{m-2} \Delta(k), & \text{if } m > n \text{ and } l_m \le h. \end{cases}$$

The functions  $\Gamma_{nm}(h)$  are naturally associated to trains by taking  $\{l_n\}_{n=1}^{\infty}$  and  $\{r_n\}_{n=1}^{\infty}$  as the half-lengths of their fundamental geodesics.

**Theorem 4.2.** A train  $\Omega$  is hyperbolic if and only if

$$K := \sup_{n \ge 1} \sup_{h \in [0, l_n]} \min_{m \in [A_n(h), B_n(h)]} \Gamma_{nm}(h) < \infty$$

Furthermore, if  $\Omega$  is  $\delta$ -hyperbolic, then K is bounded by a constant which only depends on  $\delta$ ; if  $K < \infty$ , then  $\Omega$  is  $\delta$ -hyperbolic, with  $\delta$  a constant which only depends on K.

### Remarks.

(1) Notice that this is a real variable characterization of the hyperbolicity, although the hyperbolicity is a concept of complex geometry, since we consider the Poincaré metric in each train.

(2) Theorem 4.2 clearly improves [3, Theorem 5.3]: we need to know the lengths of the fundamental geodesics instead of the precise location of these geodesics and the distances to  $\mathbb{R}$  from their points.

(3) The proof of Theorem 4.2 gives that its conclusion also holds if we replace K by

$$K(l_0) := \sup_{n \ge 1} \sup_{h \in [l_0, l_n]} \min_{m \in [A_n(h), B_n(h)]} \Gamma_{nm}(h) < \infty,$$

for any fixed  $l_0 > 0$ . In this case, the constant  $\delta$  depends on  $K(l_0)$  and  $l_0$ .

*Proof.* By [3, Theorem 5.3],  $\Omega$  is  $\delta$ -hyperbolic if and only if

$$K_1 := \sup_{n \ge 1} \sup_{z \in \gamma_n} \inf_{m \ge 0} d_\Omega (z, (a_m, b_m)) < \infty,$$

with the appropriate dependence of the constants (if  $\Omega$  is  $\delta$ -hyperbolic, then  $K_1$  is bounded by a constant which only depends on  $\delta$ ; if  $K_1 < \infty$ , then  $\Omega$  is  $\delta$ -hyperbolic, with  $\delta$  a constant which only depends on  $K_1$ ).

- Fix any constant  $l_0 > 0$ . Notice that:
- (1)  $d_{\Omega}(z, (a_0, b_0)) = h(z)$  and  $d_{\Omega}(z, (a_n, b_n)) = l_n h(z)$ . Since any z with  $h(z) < l_0$  verifies

$$\inf_{m \ge 0} d_{\Omega} (z, (a_m, b_m)) \le d_{\Omega} (z, (a_0, b_0)) = h(z) < l_0 ,$$

we only need to consider z with  $l_0 \leq h(z) \leq l_n$ .

From now on, let us fix  $n \ge 1$  and  $z \in \gamma_n$  with  $l_0 \le h(z) \le l_n$ .

(2) If k < m < n, with  $l_m \leq h(z)$ , let us consider the geodesic  $\sigma$  which gives the minimum distance between z and  $(a_k, b_k)$ . Define the point  $w := \sigma \cap \gamma_m$ ; hence  $d_\Omega(z, w) < d_\Omega(z, (a_k, b_k))$  and Lemma 3.3 gives

$$d_{\Omega}\big(z,(a_m,b_m)\big) \leq d_2\big(z,(a_m,b_m) \cap \gamma_m\big) \leq d_2\big(z,w\big) \leq 3 d_{\Omega}\big(z,w\big) < 3 d_{\Omega}\big(z,(a_k,b_k)\big).$$

In a similar way, if k > m > n, with  $l_m \le h(z)$ , then  $d_{\Omega}(z, (a_m, b_m)) < 3 d_{\Omega}(z, (a_k, b_k))$ . Hence we only need to consider  $d_{\Omega}(z, (a_m, b_m))$  with  $m \in \{0\} \cup [A_n(h(z)), B_n(h(z))]$ , in order to study if  $K_1$  is finite.

(3) If  $m \in (A_n(h(z)), n)$ , then  $l_0 \leq h(z) < l_m$ . By Lemma 3.4, we can replace  $d_\Omega(z, (a_m, b_m))$  by  $d_1(z, \gamma_m \cap (a_m, b_m))$ . If  $z_m$  is the point in  $\gamma_m$  with  $h(z_m) = h(z)$ , then  $d_1(z, \gamma_m \cap (a_m, b_m)) := d_\Omega(z, z_m) + l_m - h(z)$ . Standard hyperbolic trigonometry in quadrilaterals (see e.g. [12, p. 88]) gives that

$$d_{\Omega}(z, z_m) = 2 \operatorname{Arcsinh}\left(\sinh \frac{1}{2} d_{\Omega}(\gamma_m, \gamma_n) \cosh h(z)\right)$$

Recall that  $(a_0, b_0)$  contains the shortest geodesic joining  $\gamma_m$  and  $\gamma_n$ . By Corollary 3.7 we can replace  $d_{\Omega}(z, z_m)$  by  $d_{\Omega}(\gamma_m, \gamma_n) e^{h(z)}$ , and therefore  $d_1(z, \gamma_m \cap (a_m, b_m))$  by  $d_{\Omega}(\gamma_m, \gamma_n) e^{h(z)} + l_m - h(z)$ . Standard hyperbolic trigonometry in right-angled hexagons (see e.g. [12, p. 86]) gives that

$$d_{\Omega}(\gamma_k, \gamma_{k+1}) = \operatorname{Arccosh} \frac{\cosh r_k + \cosh l_k \cosh l_{k+1}}{\sinh l_k \sinh l_{k+1}}$$

for every  $k \ge 1$ . Proposition 3.8 gives

$$d_{\Omega}(\gamma_k, \gamma_{k+1}) = f(l_k, l_{k+1}, r_k) \asymp e^{-l_k} + e^{-l_{k+1}} + e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ = \Delta(k),$$

for every  $k \in (A_n(h(z)), n)$ , since then  $l_k, l_{k+1} \ge h(z) \ge l_0$ . Therefore we can replace  $d_\Omega(z, (a_m, b_m))$  by

$$U_m - h(z) + e^{h(z)} \sum_{k=m}^{n-1} \Delta(k)$$

A symmetric argument gives that if  $m \in (n, B_n(h(z)))$ , then we can replace  $d_\Omega(z, (a_m, b_m))$  by

$$l_m - h(z) + e^{h(z)} \sum_{k=n}^{m-1} \Delta(k).$$

(4) If  $m = A_n(h(z))$ , then  $h(z) \ge l_m$ . If  $z_{m+1}$  is the point in  $\gamma_{m+1}$  with  $h(z_{m+1}) = h(z)$ , by Lemma 3.5, we can replace  $d_{\Omega}(z, (a_m, b_m))$  by  $d_{\Omega}(z, z_{m+1}) + d_{\Omega}(z_{m+1}, (a_m, b_m))$ . We have seen in (3) that we can replace  $d_{\Omega}(z, z_{m+1})$  by

$$e^{h(z)} \sum_{k=m+1}^{n-1} \Delta(k) \,.$$

Standard hyperbolic trigonometry in pentagons (see e.g. [12, p. 87]) gives that

 $\sinh d_{\Omega}(z_{m+1}, (a_m, b_m)) = -\cosh l_m \sinh h(z) + \sinh l_m \cosh h(z) \cosh d_{\Omega}(\gamma_m, \gamma_{m+1}).$ 

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Standard hyperbolic trigonometry in right-angled hexagons (see e.g. [12, p. 86]) gives that

$$\cosh d_{\Omega}(\gamma_m, \gamma_{m+1}) = \frac{\cosh r_m + \cosh l_m \cosh l_{m+1}}{\sinh l_m \sinh l_{m+1}}$$

and hence

$$\sinh d_{\Omega} (z_{m+1}, (a_m, b_m)) = -\cosh l_m \sinh h(z) + \cosh h(z) \frac{\cosh r_m + \cosh l_m \cosh l_{m+1}}{\sinh l_{m+1}} \\ = \frac{\cosh l_m (\cosh l_{m+1} \cosh h(z) - \sinh l_{m+1} \sinh h(z)) + \cosh r_m \cosh h(z)}{\sinh l_{m+1}} \\ = \frac{\cosh l_m \cosh \left( l_{m+1} - h(z) \right) + \cosh r_m \cosh h(z)}{\sinh l_{m+1}} = \sinh F (l_m, l_{m+1}, r_m, h(z)) ,$$

where F is the function in Proposition 3.9. Therefore, Corollary 3.10 gives that we can replace  $d_{\Omega}(z_{m+1}, (a_m, b_m))$  by  $(r_m + h(z) - l_{m+1})_{\perp}$ . Consequently, we can substitute  $d_{\Omega}(z, (a_m, b_m))$  by

$$(r_m + h(z) - l_{m+1})_+ + e^{h(z)} \sum_{k=m+1}^{n-1} \Delta(k)$$

A symmetric argument gives that if  $m = B_n(h(z))$ , then we can replace  $d_\Omega(z, (a_m, b_m))$  by

$$(r_{m-1}+h(z)-l_{m-1})_++e^{h(z)}\sum_{k=n}^{m-2}\Delta(k).$$

Notice that each time that we replace a quantity by other in this proof, the constants are under control. Let us remark that (1), (2), (3) and (4) give the result, with  $\inf_{m \in [A_n(h), B_n(h)]} \Gamma_{nm}(h)$  instead of  $\min_{m \in [A_n(h), B_n(h)]} \Gamma_{nm}(h)$ .

Let us see now that this infimum is attained. Seeking for a contradiction, suppose that the latest statement is not true. Therefore,  $B_n(h) = \infty$  and  $l_m > h$  for every m > n. Then, there exists an increasing sequence of integer numbers  $\{m_j\}$  with  $\lim_{j\to\infty} \Gamma_{nm_j}(h) = \inf_{m\in[A_n(h),\infty)} \Gamma_{nm}(h)$ . By choosing a subsequence if it is necessary, we can assume that  $\{\Gamma_{nm_j}(h)\}_j$  is a decreasing sequence. Hence,

$$\Gamma_{nm_{j+1}}(h) = l_{m_{j+1}} - h + e^h \sum_{k=n}^{m_{j+1}-1} \Delta(k) < \Gamma_{nm_j}(h) = l_{m_j} - h + e^h \sum_{k=n}^{m_j-1} \Delta(k) .$$

Consequently, we have that  $l_{m_{j+1}} < l_{m_j} < l_{m_1}$  for every j, and

$$\Gamma_{nm_j}(h) = l_{m_j} - h + e^h \sum_{k=n}^{m_j - 1} \Delta(k) \ge e^h \sum_{k=n}^{m_j} e^{-l_k} \ge e^h \sum_{k=1}^j e^{-l_{m_k}} \ge e^h j e^{-l_{m_1}}.$$

Hence,  $\lim_{j\to\infty} \Gamma_{nm_j}(h) = \lim_{j\to\infty} e^h j e^{-l_{m_1}} = \infty$ , which is a contradiction. This finishes the proof. **Lemma 4.3.** For every  $r_k \ge 0$  and  $0 < l_k \le h \le l_{k+1}$ , we have

$$\left(r_k + h - l_{k+1}\right)_+ < e^h \Delta(k)$$

*Proof.* Let us remark that it is sufficient to prove

$$r_k + h - l_{k+1} < e^h \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right),$$

for every  $r_k \ge 0$  and  $0 < l_k \le h \le l_{k+1}$ .

Since the left hand side of the inequality does not depend on  $l_k$  and the right hand side is a decreasing function on  $l_k$ , it is enough to prove

$$r_k + h - l_{k+1} < e^h \left( e^{-\frac{1}{2}(h + l_{k+1} - r_k)_+} + (r_k - h - l_{k+1})_+ \right),$$

for every  $r_k \ge 0$  and  $0 < h \le l_{k+1}$ .

If  $r_k \leq h + l_{k+1}$ , then the inequality is

$$r_k + h - l_{k+1} < e^h e^{-\frac{1}{2}(h + l_{k+1} - r_k)} = e^{\frac{1}{2}(r_k + h - l_{k+1})},$$

which trivially holds since  $t < e^{t/2}$  for every real number t.

If  $r_k \ge h + l_{k+1}$ , then the inequality is

$$r_k + h - l_{k+1} < e^h (1 + r_k - h - l_{k+1}).$$

Since h > 1, it is clear that the function

$$U(r_k) := e^h (1 + r_k - h - l_{k+1}) - r_k - h + l_{k+1}$$

is increasing in  $r_k \in [h + l_{k+1}, \infty)$ . Then  $U(r_k) \ge U(h + l_{k+1}) = e^h - 2h > 0$ , and the inequality holds.  $\Box$ 

**Proposition 4.4.** In any train  $\Omega$  we have

$$\min_{h \in [A_n(h), B_n(h)]} \Gamma_{nm}(h) = \min_{m \ge 1} \Gamma_{nm}(h) ,$$

for every  $n \ge 1$  and  $0 \le h \le l_n$ .

*Proof.* Fix  $n \ge 1$  and  $0 \le h \le l_n$ . If  $m < A_n(h)$ , then Lemma 4.3 gives  $\Gamma_{nm}(h) > \Gamma_{nA_n(h)}(h)$ :

$$\Gamma_{nm}(h) \ge e^{h} \sum_{k=m+1}^{n-1} \Delta(k) \ge e^{h} \sum_{k=A_{n}(h)}^{n-1} \Delta(k) = e^{h} \Delta(A_{n}(h)) + e^{h} \sum_{k=A_{n}(h)+1}^{n-1} \Delta(k)$$
$$> \left(r_{A_{n}(h)} + h - l_{A_{n}(h)+1}\right)_{+} + e^{h} \sum_{k=A_{n}(h)+1}^{n-1} \Delta(k) = \Gamma_{nA_{n}(h)}(h) \,.$$

The case  $m > B_n(h)$  is similar.

**Proposition 4.5.** If for some n we have  $l_m \ge l_n$  for every  $m \ge n$ , then the conclusion of Theorem 4.2 also holds if we replace  $[A_n(h), B_n(h)]$  by  $[A_n(h), n]$  for this n.

*Proof.* It is enough to remark that for every  $z \in \gamma_n$  and m > n, we have  $d_{\Omega}(z, (a_n, b_n)) = l_n - h(z) \leq l_m - h(z) < d_{\Omega}(z, (a_m, b_m))$ .

Although to compute the minimum and the supremum in Theorem 4.2 can be difficult in the general case, Theorem 4.2 is the main tool in order to obtain the remaining results of this paper. We start with an elementary corollary.

**Proposition 4.6.** Let us consider a train  $\Omega$  with  $l_n \leq c$  for every n. Then  $\Omega$  is  $\delta$ -hyperbolic, where  $\delta$  is a constant which only depends on c.

*Proof.* For each positive integer n, we have  $\Gamma_{nn}(h) := \min\{h, l_n - h\} \le l_n \le c$  for every  $h \in [0, l_n]$ . Hence,  $K \le c$  and Theorem 4.2 finishes the proof.

One of the important problems in the study of any property is to obtain its stability under appropriate deformations. Theorem 4.2 allows to prove a result which shows that hyperbolicity is stable under bounded perturbations of the lengths of the fundamental geodesics. Theorem 4.8 is particularly remarkable since there are very few results on hyperbolic stability which do not involve quasi-isometries. We need a previous lemma; it deals with some kind of reverse inequality to the one in Lemma 4.3.

**Lemma 4.7.** For every  $r_k, l_{k+1} \ge 0$  and  $0 \le h \le l_k$ , we have

$$e^{h}\left(e^{-\frac{1}{2}(l_{k}+l_{k+1}-r_{k})_{+}}+(r_{k}-l_{k}-l_{k+1})_{+}\right)\leq\left(1+(r_{k}+h-l_{k+1})_{+}\right)e^{\frac{1}{2}(r_{k}+h-l_{k+1})_{+}}.$$

*Proof.* Since the right hand side of the inequality does not depend on  $l_k$  and the left hand side is a decreasing function on  $l_k$ , it is sufficient to prove

$$e^{h}\left(e^{-\frac{1}{2}(h+l_{k+1}-r_{k})_{+}}+(r_{k}-h-l_{k+1})_{+}\right)\leq\left(1+\left(r_{k}+h-l_{k+1}\right)_{+}\right)e^{\frac{1}{2}(r_{k}+h-l_{k+1})_{+}}.$$

for every  $r_k, l_{k+1}, h \ge 0$ .

If  $h + l_{k+1} - r_k \ge 0$ , the inequality is direct since

$$e^{h}\left(e^{-\frac{1}{2}(h+l_{k+1}-r_{k})_{+}}+(r_{k}-h-l_{k+1})_{+}\right)=e^{h}e^{-\frac{1}{2}(h+l_{k+1}-r_{k})}=e^{\frac{1}{2}(r_{k}+h-l_{k+1})}$$

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If 
$$h + l_{k+1} - r_k < 0$$
, then  $r_k - l_{k+1} > h$  and  $(r_k + h - l_{k+1})_+ > 2h$ ; consequently,  
 $e^h \left( e^{-\frac{1}{2}(h+l_{k+1}-r_k)_+} + (r_k - h - l_{k+1})_+ \right) = e^h \left( 1 + r_k - h - l_{k+1} \right) < \left( 1 + \left( r_k + h - l_{k+1} \right)_+ \right) e^{\frac{1}{2}(r_k + h - l_{k+1})_+}.$ 

Next, the result about stability that we have talked about before Lemma 4.7. Theorem 4.8 is both, a qualitative and a quantitative result.

**Theorem 4.8.** Let us consider two trains  $\Omega$ ,  $\Omega'$  and a constant c such that  $|r'_n - r_n| \leq c$ , and  $|l'_n - l_n| \leq c$  for every  $n \geq 1$ . Then  $\Omega$  is hyperbolic if and only if  $\Omega'$  is hyperbolic.

Furthermore, if  $\Omega$  is  $\delta$ -hyperbolic, then  $\Omega'$  is  $\delta'$ -hyperbolic, with  $\delta'$  a constant which only depends on  $\delta$  and c.

## Remarks.

(1) Notice that in many cases  $\Omega$  and  $\Omega'$  are not quasi-isometric (for example, if there exists a subsequence  $\{n_k\}_k$  with  $\lim_{k\to\infty} l_{n_k} = 0$  and  $l'_{n_k} \ge c_0 > 0$ ).

(2) We have examples which show that Theorem 4.8 is sharp: if we change the constants in Theorem 4.8 by any function growing slowly to infinity, then the conclusion of Theorem 4.8 does not hold. For instance, if  $\{r_n\}$  is bounded and  $\{r'_n\}$  is not bounded, then there exists  $\{l_n\} = \{l'_n\}$  with  $\Omega$  hyperbolic and  $\Omega'$  not hyperbolic.

*Proof.* By symmetry, it is sufficient to prove that if  $\Omega$  is  $\delta$ -hyperbolic, then  $\Omega'$  is  $\delta'$ -hyperbolic, with  $\delta'$  a constant which only depends on  $\delta$  and c. Therefore, let us assume that  $\Omega$  is  $\delta$ -hyperbolic.

Notice that  $e^{-l_k} + e^{-l_{k+1}} \le e^c (e^{-l'_k} + e^{-l'_{k+1}}).$ 

If 
$$l_k + l_{k+1} \le r_k$$
, then  $e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ = 1 + r_k - l_k - l_{k+1}$  and

$$e^{-\frac{1}{2}(l_{k}+l_{k+1}-r_{k})+} + (r_{k}'-l_{k}'-l_{k+1}')_{+} \le 1+3c+r_{k}-l_{k}-l_{k+1} \le (1+3c)\left(e^{-\frac{1}{2}(l_{k}+l_{k+1}-r_{k})+} + (r_{k}-l_{k}-l_{k+1})_{+}\right).$$

If 
$$l'_k + l'_{k+1} \ge r'_k$$
, then  

$$e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} + (r'_k - l'_k - l'_{k+1})_+ = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{3c/2} \left( e^{-\frac{1}{2}(l_k + l_{k+1} - r_k)_+} + (r_k - l_k - l_{k+1})_+ \right) = e^{-\frac{1}{2}(l'_k + l'_{k+1} - r'_k)_+} \le e^{-\frac{1}{2}(l'_k + l'_k - l'_k - l'_k)_+} \le e^{-\frac{1}{2}(l'_k - l'_k - l'_k - l'_k - l'_k)_+} \le e^{-\frac{1}{2}(l'_k - l'_k - l'_k - l'_k - l'_k)_+} \le e^{-\frac{1}{2}(l'_k - l'_k - l'$$

If  $l_k + l_{k+1} > r_k$  and  $l'_k + l'_{k+1} < r'_k$ , then

$$\begin{split} l_k + l_{k+1} - r_k &\leq l'_k + l'_{k+1} - r'_k + 3c < 3c \,, \\ r'_k - l'_k - l'_{k+1} &\leq r_k - l_k - l_{k+1} + 3c < 3c \,, \end{split}$$

and consequently

$$e^{-\frac{1}{2}(l'_{k}+l'_{k+1}-r'_{k})_{+}} + (r'_{k}-l'_{k}-l'_{k+1})_{+} = 1 + r'_{k}-l'_{k}-l'_{k+1} < (1+3c) e^{3c/2} e^{-3c/2} < (1+3c) e^{3c/2} \left(e^{-\frac{1}{2}(l_{k}+l_{k+1}-r_{k})_{+}} + (r_{k}-l_{k}-l_{k+1})_{+}\right).$$

Therefore

 $e^{-l'_{k}} + e^{-l'_{k+1}} + e^{-\frac{1}{2}(l'_{k}+l'_{k+1}-r'_{k})_{+}} + (r'_{k}-l'_{k}-l'_{k+1})_{+} \le (1+3c) e^{3c/2} \left(e^{-l_{k}} + e^{-l_{k+1}} + e^{-\frac{1}{2}(l_{k}+l_{k+1}-r_{k})_{+}} + (r_{k}-l_{k}-l_{k+1})_{+}\right),$ i.e.  $\Delta'(k) \le (1+3c) e^{3c/2} \Delta(k)$ . We also have

$$(r'_{m} + h - l'_{m+1})_{+} \leq 2c + (r_{m} + h - l_{m+1})_{+} + l'_{m} - h \leq c + l_{m} - h,$$
  
$$\min\{h, l'_{n} - h\} \leq c + \min\{h, l_{n} - h\}.$$

Hence, we conclude

$$(\Gamma_{nm})'(h) \leq (1+3c) e^{3c/2} \Gamma_{nm}(h) + 2c,$$

for every  $n, m \ge 1$  and  $h \ge 0$  with either m = n or  $l_m, l'_m \le h$  or  $l_m, l'_m > h$ .

We deal now with the other cases. Let us assume that  $m \in [A'_n(h), n)$ . The case  $m \in (n, B'_n(h)]$  is similar.

If  $l'_m \leq h < l_m$ , then  $m = A'_n(h)$  and  $l'_m \leq h < l'_{m+1}$ . Applying Lemma 4.3 we obtain

$$(\Gamma_{nm})'(h) = (r'_m + h - l'_{m+1})_+ + e^h \sum_{k=m+1}^{n-1} \Delta'(k) < e^h \sum_{k=m}^{n-1} \Delta'(k)$$
  
 
$$\leq l_m - h + (1+3c) e^{3c/2} e^h \sum_{k=m}^{n-1} \Delta(k) \leq (1+3c) e^{3c/2} \Gamma_{nm}(h)$$

If  $l_m \leq h < l'_m$ , then  $m > A'_n(h)$  and  $h < l'_{m+1}$ . We also have  $l'_m - h \leq l'_m - l_m \leq c$ . Applying Lemma 4.7 we obtain

$$\begin{split} (\Gamma_{nm})'(h) &= l'_m - h + e^{h - l'_m} + e^{h - l'_{m+1}} + e^h \left( e^{-\frac{1}{2}(l'_m + l'_{m+1} - r'_m)_+} + (r'_m - l'_m - l'_{m+1})_+ \right) + e^h \sum_{k=m+1}^{n-1} \Delta'(k) \\ &\leq c + 2 + \left( 1 + (r'_m + h - l'_{m+1})_+ \right) e^{\frac{1}{2}(r'_m + h - l'_{m+1})_+} + (1 + 3c) e^{3c/2} e^h \sum_{k=m+1}^{n-1} \Delta(k) \\ &\leq c + 2 + \left( 1 + 2c + (r_m + h - l_{m+1})_+ \right) e^c e^{\frac{1}{2}(r_m + h - l_{m+1})_+} + (1 + 3c) e^{3c/2} e^h \sum_{k=m+1}^{n-1} \Delta(k) \\ &\leq c + 2 + \left( 1 + 2c + (r_m + h - l_{m+1})_+ \right) e^c e^{\frac{1}{2}(r_m + h - l_{m+1})_+} + (1 + 3c) e^{3c/2} e^h \sum_{k=m+1}^{n-1} \Delta(k) \\ &\leq c + 2 + \left( 1 + 2c + (r_m + h - l_{m+1})_+ \right) e^c e^{\frac{1}{2}(r_m + h - l_{m+1})_+} + (1 + 3c) e^{3c/2} \Gamma_{nm}(h) \,. \end{split}$$

We can conclude in any case

$$\sup_{h \in [0,\min\{l_n,l'_n\}]} \min_{m \in [A'_n(h),B'_n(h)]} (\Gamma_{nm})'(h) = \sup_{h \in [0,\min\{l_n,l'_n\}]} \min_{m \ge 1} (\Gamma_{nm})'(h)$$
  
$$\leq \sup_{h \in [0,l_n]} \min_{m \ge 1} \left( c + 2 + \left( 1 + 2c + \Gamma_{nm}(h) \right) e^c e^{\frac{1}{2}\Gamma_{nm}(h)} + \left( 1 + 3c \right) e^{3c/2} \Gamma_{nm}(h) \right)$$
  
$$\leq c + 2 + \left( 1 + 2c + K \right) e^c e^{\frac{1}{2}K} + \left( 1 + 3c \right) e^{3c/2} K,$$

for every  $n \ge 1$ , where K only depends on  $\delta$ , by Theorem 4.2 and Proposition 4.4.

If for some *n* we have  $l_n < l'_n$  and  $h \in [l_n, l'_n]$ , then  $(\Gamma_{nn})'(h) \le l'_n - h \le l'_n - l_n \le c$  and

$$\sup_{h \in [l_n, l'_n]} \min_{m \in [A'_n(h), B'_n(h)]} (\Gamma_{nm})'(h) \le c.$$

Therefore,  $K' \leq c + 2 + (1 + 2c + K) e^c e^{\frac{1}{2}K} + (1 + 3c) e^{3c/2}K$ , and the conclusion holds by Theorem 4.2.  $\Box$ 

Theorem 4.8 has the following direct consequence.

**Corollary 4.9.** Let us consider two trains  $\Omega$ ,  $\Omega'$  such that  $r'_n = r_n$ , and  $l'_n = l_n$  for every  $n \ge N$ . Then  $\Omega$  is hyperbolic if and only if  $\Omega'$  is hyperbolic.

Theorems 4.11 and 4.12 are simpler versions of Theorem 4.2, which can be applied in many occasions, and are obtained by replacing  $\Gamma_{nm}(h)$  for  $\Gamma^*_{nm}(h)$  and  $\Gamma^0_{nm}(h)$ , respectively. We define now these functions.

**Definition 4.10.** Let us consider a sequence of positive numbers  $\{l_n\}_{n=1}^{\infty}$  and a sequence of non-negative numbers  $\{r_n\}_{n=1}^{\infty}$ . Consider  $n \ge 1$  and  $0 \le h \le l_n$ . We define

$$\Gamma_{nm}^{*}(h) := \begin{cases} \left(r_{m} + h - l_{m+1}\right)_{+} + e^{h} \sum_{k=m+1}^{n} e^{-l_{k}}, & \text{if } m < n \text{ and } l_{m} \le h, \\ l_{m} - h + e^{h} \sum_{k=m}^{n} e^{-l_{k}}, & \text{if } m < n \text{ and } l_{m} > h, \\ \min\left\{h, l_{n} - h\right\}, & \text{if } m = n, \end{cases}$$

$$\begin{bmatrix} l_m - h + e^h \sum_{k=n}^m e^{-l_k}, & \text{if } m > n \text{ and } l_m > h, \\ (r_{m-1} + h - l_{m-1})_+ + e^h \sum_{k=n}^{m-1} e^{-l_k}, & \text{if } m > n \text{ and } l_m \le h, \end{bmatrix}$$

and

$$\Gamma^{0}_{nm}(h) := \begin{cases} e^{h} \sum_{\substack{k=m+1 \\ m-1}}^{n} e^{-l_{k}}, & \text{if } m < n \text{ and } l_{m} \leq h, \\ e^{h} \sum_{\substack{k=n \\ r_{nm}^{*}(h), \\ r_{nm}^{*$$

The functions  $\Gamma_{nm}^*(h)$  and  $\Gamma_{nm}^0(h)$  are naturally associated to trains by taking  $\{l_n\}_{n=1}^{\infty}$  and  $\{r_n\}_{n=1}^{\infty}$  as the half-lengths of their fundamental geodesics.

**Theorem 4.11.** Let us consider a train  $\Omega$  such that there exists a constant c > 0 with  $r_n \leq 2c + |l_n - l_{n+1}|$  for every  $n \geq 1$ . Then  $\Omega$  is hyperbolic if and only if

$$K^* := \sup_{n \ge 1} \sup_{h \in [0, l_n]} \min_{m \in [A_n(h), B_n(h)]} \Gamma^*_{nm}(h) < \infty$$

Furthermore, if  $\Omega$  is  $\delta$ -hyperbolic, then  $K^*$  is bounded by a constant which only depends on  $\delta$  and c; if  $K^* < \infty$ , then  $\Omega$  is  $\delta$ -hyperbolic, with  $\delta$  a constant which only depends on  $K^*$  and c.

*Proof.* First, let us consider the integer numbers k with  $l_k + l_{k+1} \ge r_k$ . The inequality  $r_k - l_k - l_{k+1} \le 2c - 2\min\{l_k, l_{k+1}\}$  (which is equivalent to  $r_k \le 2c + |l_k - l_{k+1}|$ ) gives

$$e^{-\frac{1}{2}(l_k+l_{k+1}-r_k)_+} + (r_k - l_k - l_{k+1})_+ = e^{\frac{1}{2}(r_k - l_k - l_{k+1})} \le e^{c-\min\{l_k, l_{k+1}\}} \le e^c (e^{-l_k} + e^{-l_{k+1}}).$$

And now, consider the integer numbers k with  $l_k + l_{k+1} \leq r_k$ . The inequality  $0 \leq r_k - l_k - l_{k+1} \leq 2c - 2\min\{l_k, l_{k+1}\}$  gives  $\min\{l_k, l_{k+1}\} \leq c$ , and consequently

$$e^{-c} \le e^{-\min\{l_k, l_{k+1}\}}, \qquad 1 \le e^c \left(e^{-l_k} + e^{-l_{k+1}}\right)$$

Hence

$$e^{-\frac{1}{2}(l_k+l_{k+1}-r_k)_+} + (r_k - l_k - l_{k+1})_+ = 1 + r_k - l_k - l_{k+1} \le 1 + 2c \le (1+2c) e^c (e^{-l_k} + e^{-l_{k+1}}).$$

Then

$$e^{-\frac{1}{2}(l_k+l_{k+1}-r_k)_+} + (r_k - l_k - l_{k+1})_+ \le (1+2c) e^c (e^{-l_k} + e^{-l_{k+1}}),$$
$$e^{-l_k} + e^{-l_{k+1}} \le \Delta(k) \le (1 + (1+2c) e^c) (e^{-l_k} + e^{-l_{k+1}}),$$

for every  $k \ge 1$ . Hence, if we apply Theorem 4.2 we obtain the conclusion, with  $\inf_{m \in [A_n(h), B_n(h)]} \Gamma^*_{nm}(h)$  instead of  $\min_{m \in [A_n(h), B_n(h)]} \Gamma^*_{nm}(h)$ . In order to see that the infimum is attained we can follow an argument similar to the one at the end of the proof of Theorem 4.2.

**Theorem 4.12.** Let us consider a train  $\Omega$  such that there exists a constant c > 0 with  $r_n \leq c$  for every  $n \geq 1$ . Then  $\Omega$  is hyperbolic if and only if

$$K^{0} := \sup_{n \ge 1} \sup_{h \in [0, l_{n}]} \min_{m \in [A_{n}(h), B_{n}(h)]} \Gamma^{0}_{nm}(h) < \infty.$$

Furthermore, if  $\Omega$  is  $\delta$ -hyperbolic, then  $K^0$  is bounded by a constant which only depends on  $\delta$  and c; if  $K^0 < \infty$ , then  $\Omega$  is  $\delta$ -hyperbolic, with  $\delta$  a constant which only depends on  $K^0$  and c.

**Remark.** Notice that  $\Gamma_{nm}^0$  is much simpler than  $\Gamma_{nm}$ :

Firstly, the four terms in the definition of  $\Delta(k)$  are replaced by its first term.

Furthermore, in the first and fifth cases in the definition of  $\Gamma_{nm}^0$  we remove the first term in the corresponding definition of  $\Gamma_{nm}$ .

In order to obtain these simplifications, we must pay with the hypothesis  $r_n \leq c$ , but this is a usual hypothesis: for instance, every flute surface satisfies it.

*Proof.* Notice that  $(r_m + h - l_{m+1})_+ \le r_m \le c$  if  $m = A_n(h)$  (since  $l_{m+1} > h$ ) and  $(r_{m-1} + h - l_{m-1})_+ \le r_{m-1} \le c$  if  $m = B_n(h)$ .

Hence, if we apply Theorem 4.11 we obtain the conclusion, with  $\inf_{m \in [A_n(h), B_n(h)]} \Gamma^0_{nm}(h)$  instead of  $\min_{m \in [A_n(h), B_n(h)]} \Gamma^0_{nm}(h)$ .

In order to see that the infimum is attained we can follow an argument similar to the one at the end of the proof of Theorem 4.2.  $\hfill \Box$ 

**Proposition 4.13.** In any train  $\Omega$  we have

$$\min_{\in [A_n(h),B_n(h)]} \Gamma^0_{nm}(h) = \min_{m \ge 1} \Gamma^0_{nm}(h) \,,$$

for every  $n \ge 1$  and  $0 \le h \le l_n$ .

*Proof.* Fix  $n \ge 1$  and  $0 \le h \le l_n$ . If  $m < A_n(h)$ , then  $\Gamma^0_{nm}(h) > \Gamma^0_{nA_n(h)}(h)$ :

m

$$\Gamma^{0}_{nm}(h) \ge e^{h} \sum_{k=m+1}^{n} e^{-l_{k}} > e^{h} \sum_{k=A_{n}(h)+1}^{n} e^{-l_{k}} = \Gamma^{0}_{nA_{n}(h)}(h) \,.$$

The case  $m > B_n(h)$  is similar.

We can deduce a simple sufficient condition for the hyperbolicity.

**Theorem 4.14.** Let us consider a train  $\Omega$  with  $l_1 \leq l^0$ ,  $r_n \leq c_1$  for every n and

(4.1) 
$$\sum_{k=n}^{\infty} e^{-l_k} \le c_2 e^{-l_n}, \quad \text{for every } n > 1.$$

Then  $\Omega$  is  $\delta$ -hyperbolic, where  $\delta$  is a constant which only depends on  $c_1$ ,  $c_2$  and  $l^0$ .

**Remark.** Let us consider an increasing  $C^1$  function f with  $\lim_{x\to\infty} f(x) = \infty$ , and define  $l_n := f(n)$  for every n. A direct computation gives that  $\{l_n\}$  satisfies (4.1) if and only if there exist constants c, M with  $f'(x) \ge c > 0$  for every  $x \ge M$ .

Consequently, for a, b > 0 and  $c \in \mathbb{R}$ , the sequence  $l_n := an^b + c$  satisfies (4.1) if and only if  $b \ge 1$ .

*Proof.* Let us consider  $n \ge 1$  and  $h \in [l^0, l_n]$ . Since  $l_1 \le l^0 \le h$ , we have that  $m = A_n(h)$  satisfies  $l_m \le h < l_{m+1}$  and

$$\Gamma^{0}_{nm}(h) = e^{h} \sum_{k=m+1}^{n} e^{-l_{k}} \le e^{h} c_{2} e^{-l_{m+1}} < c_{2}.$$

If  $h \in [0, l^0]$ , then  $\Gamma_{nn}^0(h) \le h \le l^0$ . Hence,  $K^0 \le \max\{c_2, l^0\}$ , and Theorem 4.12 gives the result.  $\Box$ 

## Lemma 4.15.

(1) Let us consider a sequence  $\{l_n\}$  such that  $l_m \leq l_n + c$  for every positive integer numbers  $m \leq n$ . Then there exists a non-decreasing sequence  $\{l'_n\}$ , such that  $|l_n - l'_n| \leq c$  for every n.

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(2) Let us consider a non-decreasing sequence  $\{l'_n\}$ . If  $\{l_n\}$  is a sequence with  $|l_n - l'_n| \le c$  for every n, then  $l_m \le l_n + 2c$  for every positive integer numbers  $m \le n$ .

*Proof.* We prove now the first part of the lemma. We define a sequence  $\{l'_n\}$  in the following way:  $l'_n := \max\{l_1, l_2, \ldots, l_n\}$ . It is clear that  $\{l'_n\}$  is a non-decreasing sequence. Since  $l_m \leq l_n + c$  for every  $m = 1, 2, \ldots, n$ , we have  $l_n \leq l'_n \leq l_n + c$ . Consequently,  $|l_n - l'_n| \leq c$  for every n.

In order to prove the second part, notice that if  $m \le n$ , then  $l_m \le l'_m + c \le l'_n + c \le l_n + 2c$ .

The two following theorems provide necessary conditions for hyperbolicity.

**Theorem 4.16.** Let us consider an hyperbolic train  $\Omega$  with  $l_m \leq l_n + c_1$  for every positive integer numbers  $m \leq n$ . If K is the constant defined in Theorem 4.2, then

 $r_n \leq 2 \max\{K, 1\} + 2 \log \max\{K, 1\} + 3 c_1$ , for every *n* with  $l_{n+1} > 4(K + c_1)$ .

*Proof.* Let us define  $M := \max\{K, 1\}$  and fix n with  $l_{n+1} > 4(K + c_1)$ . Let us assume that  $r_n \leq l_{n+1}$ . Consider  $\epsilon \in (0, 1/2)$  and  $h_{n+1} := l_{n+1}$ .

Let us assume that 
$$r_n \leq i_{n+1}$$
. Consider  $\varepsilon \in (0, 1/2)$  and  $n_{n+1} = i_{n+1} - \varepsilon r_n$ . Then  
 $\Gamma_{n+1,n+1}(h_{n+1}) = \min\{l_{n+1} - \varepsilon r_n, \varepsilon r_n\} = \varepsilon r_n$ .

$$\begin{split} \Gamma_{n+1,n+1}(h_{n+1}) &= \min\{l_{n+1} - \varepsilon l_n, \ \varepsilon l_n\} - \varepsilon l_n, \\ \Gamma_{n+1,m}(h_{n+1}) &\geq l_m - h_{n+1} \geq l_{n+1} - c_1 - h_{n+1} = \varepsilon r_n - c_1, \quad \text{if } m > n+1, \\ \Gamma_{n+1,n}(h_{n+1}) &\geq (r_n + h_{n+1} - l_{n+1})_+ = (1 - \varepsilon)r_n, \quad \text{if } l_n \leq h_{n+1}, \\ \Gamma_{n+1,m}(h_{n+1}) &\geq e^{h_{n+1}} \Delta(n) \geq e^{l_{n+1} - \varepsilon r_n} e^{-\frac{1}{2}(l_n + l_{n+1} - r_n)} \geq e^{l_{n+1} - \varepsilon r_n} e^{-\frac{1}{2}(l_{n+1} + l_{n+1} + c_1 - r_n)} \\ &= e^{-\frac{1}{2}c_1 + (\frac{1}{2} - \varepsilon)r_n}, \quad \text{if either } m < n \text{ or } m = n \text{ and } l_n > h_{n+1}. \end{split}$$

Since  $\varepsilon \in (0, 1/2)$ 

$$M \ge \min\left\{\varepsilon r_n, \, \varepsilon r_n - c_1, \, (1-\varepsilon)r_n, \, e^{-\frac{1}{2}c_1 + (\frac{1}{2}-\varepsilon)r_n}\right\} = \min\left\{\varepsilon r_n - c_1, \, e^{-\frac{1}{2}c_1 + (\frac{1}{2}-\varepsilon)r_n}\right\}$$

and we deduce

$$r_n \leq \max \Big\{ \frac{M+c_1}{\varepsilon} \,,\, \frac{\log M+c_1/2}{1/2-\varepsilon} \Big\}$$

Taking  $\varepsilon = (M+c_1)/(2M+2\log M+3c_1)$  (notice that  $\varepsilon \in (0, 1/2)$ , since  $\log M \ge 0$ ), we obtain the equality of the two terms inside the maximum, and therefore  $r_n \le 2M + 2\log M + 3c_1$ .

We prove now that  $r_n \leq l_{n+1}$ . Seeking for a contradiction, assume that  $r_n > l_{n+1}$ , and consider  $h^{n+1} := \frac{3}{4} l_{n+1}$ . A similar argument, with  $h^{n+1}$  instead of  $h_{n+1}$ , gives: If  $l_n + l_{n+1} < r_n$ , since  $l_{n+1} > 4(K + c_1)$ ,

$$K \ge \min\left\{\frac{1}{4}l_{n+1}, \frac{1}{4}l_{n+1} - c_1, \frac{3}{4}l_{n+1}, e^{\frac{3}{4}l_{n+1}}\right\} = \frac{1}{4}l_{n+1} - c_1 > K,$$

since  $l_{n+1} > 4(K + c_1)$ , and this is a contradiction. If  $l_n + l_{n+1} \ge r_n$ , we obtain with a similar argument

$$K \ge \min\left\{\frac{1}{4}l_{n+1}, \frac{1}{4}l_{n+1} - c_1, \frac{3}{4}l_{n+1}, e^{\frac{1}{4}l_{n+1} - \frac{1}{2}c_1}\right\} = \min\left\{\frac{1}{4}l_{n+1} - c_1, e^{\frac{1}{4}l_{n+1} - \frac{1}{2}c_1}\right\} > K,$$

since  $l_{n+1} > 4(K + c_1)$ , and this is the contradiction we are looking for.

Condition  $l_m \leq l_n + c_1$  for every positive integer numbers  $m \leq n$  in Theorem 4.16 can seem superfluous, but we have examples which prove that, in fact, if it is removed, then the conclusion of the theorem is not true.

**Theorem 4.17.** Let us consider an hyperbolic train  $\Omega$  with  $l_m \leq l_n + c_1$  for every positive integer numbers  $m \leq n$ . If K is the constant defined in Theorem 4.2, then

$$\sum_{k=n}^{\infty} e^{-l_k} \le K e^{K+c_1} e^{-l_n}, \quad \text{for every } n \text{ with } l_n > 2K+c_1.$$

*Proof.* Theorem 4.2 and Proposition 4.4 give that

$$\min_{m \ge 1} \Gamma_{nm}(h) \le K \,, \qquad \text{for every } n \ge 1 \text{ and } h \in [0, l_n] \,.$$

Let us fix n with  $l_n > 2K + c_1$  and  $n_0 \ge n$ . Consider  $\varepsilon > 0$  with  $l_n \ge 2K + c_1 + \varepsilon$ . If we define  $h := l_n - K - c_1 - \varepsilon/2 \ge K + \varepsilon/2 > K$ , then for any  $m \ge n$  we have  $l_m - h \ge l_n - h - c_1 = K + \varepsilon/2 > K$  and  $(1) > \Sigma^{0}$   $(1) > T_{1}$ 

$$\Gamma_{n_0m}(h) \ge \Gamma^0_{n_0m}(h) \ge K + \varepsilon/2 > K$$

If m < n, we obtain

$$\Gamma_{n_0m}(h) \ge \Gamma^0_{n_0m}(h) \ge e^h \sum_{k=n}^{n_0} e^{-l_k}$$

Consequently,

$$K \ge \min_{m \ge 1} \Gamma_{n_0 m}(h) = \min_{1 \le m < n} \Gamma_{n_0 m}(h) \ge e^{l_n - K - c_1 - \varepsilon/2} \sum_{k=n}^{n_0} e^{-l_k},$$

for every  $n_0 \ge n$  and  $\varepsilon$  small enough. Therefore

$$K \ge e^{l_n - K - c_1} \sum_{k=n}^{\infty} e^{-l_k},$$

which finishes the proof.

The last three theorems, Theorem 4.2 and Proposition 4.6 give the following characterization.

**Theorem 4.18.** Let us consider a train  $\Omega$  with  $l_m \leq l_n + c_1$  for every positive integer numbers  $m \leq n$ .

- (1) If  $\{l_n\}$  is a bounded sequence, then  $\Omega$  is hyperbolic.
- (2) If  $\lim_{n\to\infty} l_n = \infty$ , then  $\Omega$  is hyperbolic if and only if  $\{r_n\}$  is a bounded sequence and (4.1) holds for some constant  $c_2$ .

If we have an hyperbolic train, we want to study what kind of transformations in  $\{l_n\}$  and  $\{r_n\}$  allows to obtain another hyperbolic train.

**Theorem 4.19.** Consider two trains  $\Omega$  and  $\Omega'$ . Let us assume that  $\Omega$  is  $\delta$ -hyperbolic. Then,  $\Omega'$  is  $\delta'$ hyperbolic if we have either:

- (1)  $l'_n = l_n$  and  $r'_n \leq r_n$  for every n (and then  $K' \leq K$ ), or
- (2)  $l'_n = \lambda l_n$  and  $r'_n = \lambda r_n$  for every n ( $\lambda \ge 1$ ) (and then  $K' \le \lambda K + (1 + \lambda)K^{\lambda}$ ), or (3)  $l'_n = \lambda l_n$  and  $r'_n = \mu r_n$  for every n ( $\lambda \ge 1$ ,  $0 \le \mu \le \lambda$ ) (and then  $K' \le \lambda K + (1 + \lambda)K^{\lambda}$ ).

*Proof.* In case (1),  $(\Gamma_{nm})'(h) \leq \Gamma_{nm}(h)$  for every  $n, m \geq 1$ , since  $\Gamma_{nm}(h)$  is a non-decreasing function in each variable  $r_k$ . This allows to deduce (1).

In order to prove the second part, notice that (since  $\lambda > 1$ )

$$e^{\lambda h} \sum_{k} \left( e^{-\lambda l_{k}} + e^{-\lambda l_{k+1}} + e^{-\frac{1}{2}(\lambda l_{k} + \lambda l_{k+1} - \lambda r_{k})_{+}} \right) \leq \left( e^{h} \sum_{k} \left( e^{-l_{k}} + e^{-l_{k+1}} + e^{-\frac{1}{2}(l_{k} + l_{k+1} - r_{k})_{+}} \right) \right)^{\lambda}$$

Notice that  $t \leq (1+t)^{\lambda}$  for every  $t \geq 0$  and  $\lambda \geq 1$ . Hence, if  $r_k - l_k - l_{k+1} \geq 0$ ,

$$e^{\lambda h} \sum_{k} (\lambda r_{k} - \lambda l_{k} - \lambda l_{k+1})_{+} \leq \lambda e^{\lambda h} \sum_{k} \left( 1 + (r_{k} - l_{k} - l_{k+1})_{+} \right)^{\lambda}$$
$$\leq \lambda \left( e^{h} \sum_{k} \left( e^{-\frac{1}{2}(l_{k} + l_{k+1} - r_{k})_{+}} + (r_{k} - l_{k} - l_{k+1})_{+} \right) \right)^{\lambda}$$

We also have

 $\left(\lambda r_m + \lambda h - \lambda l_{m+1}\right)_{\perp} = \lambda \left(r_m + h - l_{m+1}\right)_{\perp}, \quad \lambda l_m - \lambda h = \lambda \left(l_m - h\right), \quad \min\left\{\lambda h, \, \lambda l_n - \lambda h\right\} = \lambda \min\left\{h, \, l_n - h\right\}.$ Consequently,  $(\Gamma_{nm})'(\lambda h) \leq \lambda \Gamma_{nm}(h) + \Gamma_{nm}(h)^{\lambda} + \lambda \Gamma_{nm}(h)^{\lambda}$  for every  $n, m \geq 1$  and  $0 \leq h \leq l_n$ , and then  $K' \le \lambda K + (1+\lambda)K^{\lambda}.$ 

Item (3) is a direct consequence of (1) and (2).

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We want to study now the following question: If we have an hyperbolic train with  $\{r_n\} \in l^{\infty}$ , what kind of perturbations are allowed on  $\{l_n\}$  so that the train is still hyperbolic? Theorem 4.22 answers this question providing a great deal of hyperbolic flute surfaces.

We need the following definitions.

**Definition 4.20.** We denote by H the following set of sequences:

 $H := \{\{x_n\}: \text{ the train with } l_n = x_n \text{ and } r_n = 0 \text{ for every } n \text{ is hyperbolic} \}$  $= \{\{x_n\}: \text{ every train with } l_n = x_n \text{ for every } n \text{ and } \{r_n\} \in l^{\infty} \text{ is hyperbolic} \}.$ 

The second equality is a direct consequence of Theorem 4.8.

**Definition 4.21.** We say that the sequence  $\{y_n\}$  is a union of the sequences  $\{x_n^1\}, \ldots, \{x_n^N\}$ , if  $\{x_n^1\}, \ldots, \{x_n^N\}$  are subsequences of  $\{y_n\}$ , and  $\{x_n^1\}, \ldots, \{x_n^N\}$  is a partition of  $\{y_n\}$ .

**Theorem 4.22.** Let us consider a sequence  $\{l_n\} \in H$ .

- (1) If  $l'_n = l_n + x_n$  with  $\{x_n\} \in l^{\infty}$ , then  $\{l'_n\} \in H$ .
- (2) Fix a positive integer N. Let us assume that  $\{l_n\}$  is a subsequence  $\{l'_{n_k}\}$  of  $\{l'_n\}$  such that  $n_{k+1}-n_k \leq N$  for every k, and  $\max\{l'_{n_k}, l'_{n_{k+1}}\} \leq l'_m + N$  for every  $m \in (n_k, n_{k+1})$  and every k. Then  $\{l'_n\} \in H$ .
- (3) If  $\{l'_n\}$  is any union of the sequences  $\{l^1_n\}, \ldots, \{l^N_n\} \in H$ , then  $\{l'_n\} \in H$ .
- (4) If  $\{l'_n\}$  is a union of  $\{l_n\}$  and a sequence  $\{x_n\} \in l^{\infty}$ , then  $\{l'_n\} \in H$ .
- (5) Let us assume that  $\{l'_n\}$  is any union of the sequences  $\{l_n^1\}, \ldots, \{l_n^N\}$  which verify

$$\sum_{k=n}^{\infty} e^{-l_k^j} \le c e^{-l_n^j}, \qquad \text{for every } n > 1 \text{ and } j = 1, \dots, N.$$

Then  $\{l'_n\} \in H$ .

- (6) Fix a positive integer N. Let us assume that  $\{x_n\}$  is a subsequence  $\{l'_{n_k}\}$  of  $\{l'_n\}$  such that  $\max\{l'_{n_k}, l'_{n_{k+1}}\} \le l'_m + N$  for every  $m \in (n_k, n_{k+1})$  and every k. If  $\{x_n\} \notin H$ , then  $\{l'_n\} \notin H$ .
- (7) Fix a positive integer N. Let  $\sigma$  be a permutation of the positive integer numbers such that  $|\sigma(n)-n| \leq N$  for every n, and consider  $l'_n := l_{\sigma(n)}$ . Then  $\{l'_n\} \in H$ .

### Remarks.

(1) In fact, (7) gives the following stronger statement: If  $\sigma$  is a permutation of the positive integer numbers such that  $|\sigma(n) - n| \leq N$  for every n, then  $\{l_{\sigma(n)}\} \in H$  if and only if  $\{l_n\} \in H$  (since  $\sigma^{-1}$  also satisfies  $|\sigma^{-1}(n) - n| \leq N$  for every n).

(2) We have examples showing that the conclusions of Theorem 4.22 do not hold if we remove any of the hypothesis.

*Proof.* (1) is a direct consequence of Theorem 4.8.

(2) Fix  $n \ge 1$  and  $h \in [0, l'_n]$ .

Let us consider the maximum integer  $k_0$  such that  $n_{k_0} \leq n < n_{k_0+1}$ .

If  $l'_s \leq h$  for some  $s \in [n_{k_0}, n_{k_0+1}]$ , by symmetry, without loss of generality we can assume that there exists some  $s \in [n_{k_0}, n)$  with  $l'_s \leq h$  (the case s = n is trivial: if  $l'_n \leq h$ , then  $h = l'_n$  and  $(\Gamma_{nn}^0)'(h) = 0$ ). Hence  $A'_n(h) \in [n_{k_0}, n)$  and then  $l'_k \geq h$  for every  $k \in (A'_n(h), n]$  and  $n - A'_n(h) \leq n - n_{k_0} \leq N - 1$ ; consequently,

$$\left(\Gamma_{nA'_{n}(h)}^{0}\right)'(h) = \sum_{k=A'_{n}(h)+1}^{n} e^{h-l'_{k}} \le \sum_{k=A'_{n}(h)+1}^{n} 1 = n - A'_{n}(h) \le N - 1$$

Let us assume now that  $l'_s > h$  for every  $s \in [n_{k_0}, n_{k_0+1}]$ . There exists some integer m with  $\Gamma^0_{k_0m}(h) \leq K^0$ . By symmetry, without loss of generality we can assume that  $m \leq k_0$ .

If  $m = k_0$ , then  $\min\{h, l_{k_0} - h\} \leq K^0$ . If  $\min\{h, l_{k_0} - h\} = h$ , then  $h \leq K^0$  and we can deduce

$$(\Gamma_{nn}^{0})'(h) = \min\{h, l'_{n} - h\} \le h \le K^{0}$$

If  $\min\{h, l_{k_0} - h\} = l_{k_0} - h$ , then  $l_{k_0} - h \le K^0$  and

$$\left(\Gamma_{nn_{k_0}}^0\right)'(h) = l'_{n_{k_0}} - h + \sum_{k=n_{k_0}}^n e^{h-l'_k} \le l_{k_0} - h + \sum_{k=n_{k_0}}^n 1 \le K^0 + N.$$

If  $m < k_0$  and  $l_m > h$ , then  $\Gamma^0_{k_0 m}(h) = l_m - h + e^h \sum_{k=m}^{k_0} e^{-l_k} \le K^0$ . Hence

$$(\Gamma_{nn_m}^0)'(h) = l'_{n_m} - h + e^h \sum_{k=n_m}^{n_0} e^{-l'_k} + \sum_{k=n_{k_0}+1}^n e^{h-l'_k}$$
  

$$\leq l'_{n_m} - h + e^h \left( e^{-l'_{n_m}} + \sum_{j=m+1}^{k_0} \sum_{k=n_{j-1}+1}^{n_j} e^{-l'_k} \right) + \sum_{k=n_{k_0}+1}^n 1$$
  

$$\leq l'_{n_m} - h + e^h \left( e^{-l'_{n_m}} + \sum_{j=m+1}^{k_0} N e^{N-l'_{n_j}} \right) + N - 1$$
  

$$\leq N e^N \left( l_m - h + e^h \sum_{j=m}^{k_0} e^{-l_j} \right) + N - 1 \leq N e^N K^0 + N - 1 .$$

If  $m < k_0$  and  $l_m \le h$ , a similar argument gives the same bound for  $(\Gamma^0_{nn_m})'(h)$ . Then,  $(K^0)' \le N e^N K^0 + N$  and Theorem 4.12 implies (2).

(3) Assume first that N = 2; then  $\{l'_n\}$  is the union of  $\{l^1_n\}$  and  $\{l^2_n\}$ . We denote by  $\{l'_{n_k}\}$  the subsequence  $\{l^i_n\}$  in  $\{l'_n\}$ , for i = 1, 2. Fix  $n \ge 1$  and  $h \in [0, l'_n]$ . By symmetry, without loss of generality we can assume that there exist  $k_1$  with  $n^1_{k_1} = n$  and  $m_1 \le k_1$  with  $(\Gamma^0_{k_1m_1})^1(h) \le (K^0)^1$ .

We can assume that  $l'_s > h$  for every  $s \in (n_{m_1}^1, n_{k_1}^1)$ , since the other case is similar.

If there is no k with  $n_k^2 \in [n_{m_1}^1, n_{k_1}^1]$ , then  $\left(\Gamma_{n_{k_1}^1 n_{m_1}^1}^0\right)'(h) = \left(\Gamma_{k_1 m_1}^0\right)^1(h) \le (K^0)^1$ .

Assume now that there exists k with  $n_k^2 \in (n_{m_1}^{1}, n_{k_1}^1)$ . Let us define  $k_2 := \max\{k : n_k^2 \in (n_{m_1}^1, n_{k_1}^1)\}$ . If there exists  $m_2 \leq k_2$  such that  $(\Gamma_{k_2m_2}^0)^2(h) \leq (K^0)^2$ , then

$$\left(\Gamma^{0}_{n_{k_{1}}^{1},\max\{n_{m_{1}}^{1},n_{m_{2}}^{2}\}}\right)'(h) \leq \left(\Gamma^{0}_{k_{1}m_{1}}\right)^{1}(h) + \left(\Gamma^{0}_{k_{2}m_{2}}\right)^{2}(h) \leq (K^{0})^{1} + (K^{0})^{2}.$$

If there exists  $k_3$  verifying the next three conditions simultaneously:

(a)  $n_{k_3}^2 \in (n_{m_1}^1, n_{k_1}^1),$ 

(b) there exists  $m_3 \leq k_3$  such that  $\left(\Gamma^0_{k_3m_3}\right)^2(h) \leq (K^0)^2$ ,

(c) for every  $k \in (k_3, k_2]$  we have  $(\Gamma_{km}^0)^2(h) > (K^0)^2$  for every  $m \le k$ ,

then there exists  $m_0 > k_2$  such that  $(\Gamma^0_{k_3+1,m_0})^2(h) \leq (K^0)^2$ : In fact, seeking for a contradiction, let us assume that there exists  $m_0 \in (k_3+1,k_2]$  with  $(\Gamma^0_{k_3+1,m_0})^2(h) \leq (K^0)^2$ ; then  $(\Gamma^0_{m_0m_0})^2(h) \leq (\Gamma^0_{k_3+1,m_0})^2(h) \leq (K^0)^2$  (recall that  $l'_s > h$  for every  $s \in (n^1_{m_1}, n^1_{k_1})$ ), which is actually a contradiction with (c). Hence,

$$\left(\Gamma^{0}_{n^{1}_{k_{1}},\max\{n^{1}_{m_{1}},n^{2}_{m_{3}}\}}\right)'(h) \leq \left(\Gamma^{0}_{k_{1}m_{1}}\right)^{1}(h) + \left(\Gamma^{0}_{k_{3}m_{3}}\right)^{2}(h) + \left(\Gamma^{0}_{k_{3}+1,m_{0}}\right)^{2}(h) \leq (K^{0})^{1} + 2(K^{0})^{2}.$$

If for any k with  $n_k^2 \in (n_{m_1}^1 n_{k_1}^1)$  we have  $(\Gamma_{km}^0)^2(h) > (K^0)^2$  for every  $m \le k$ , let us define  $k_4 := \min\{k : n_k^2 \in (n_{m_1}^1, n_{k_1}^1)\}$ . As in the last case, then there exists  $m_4 > k_2$  such that  $(\Gamma_{k_4m_4}^0)^2(h) \le (K^0)^2$ , and hence

$$\left(\Gamma^{0}_{n^{1}_{k_{1}}n^{1}_{m_{1}}}\right)'(h) \leq \left(\Gamma^{0}_{k_{1}m_{1}}\right)^{1}(h) + \left(\Gamma^{0}_{k_{4}m_{4}}\right)^{2}(h) \leq (K^{0})^{1} + (K^{0})^{2}$$

Consequently,  $(K^0)' \leq 2(K^0)^1 + 2(K^0)^2$  and Theorem 4.12 implies (3) with N = 2. The result for N sequences is obtained by applying N - 1 times this result for 2 sequences.

- (4) is a direct consequence of (3) and Proposition 4.6.
- (5) is a direct consequence of (3) and Theorem 4.14.

(6) Since  $\{x_n\} \notin H$ , by Theorem 4.12 and Proposition 4.13, for each M > N there exist  $k_0$  and  $h \in (0, x_{k_0})$  with  $\Gamma^0_{k_0m}(h) \ge M$ , for every  $m \ge 1$ .

Consider  $m \ge 1$ . By symmetry, without loss of generality we can assume that  $m \le n_{k_0}$ . If  $m = n_{k_0}$ , then

$$\left(\Gamma^{0}_{n_{k_{0}}n_{k_{0}}}\right)'(h) = \min\left\{h, \, l'_{n_{k_{0}}} - h\right\} = \min\left\{h, \, x_{k_{0}} - h\right\} = \Gamma^{0}_{k_{0}k_{0}}(h) \ge M.$$

Notice that if  $m \in (n_{k_0-1}, n_{k_0})$ , then

$$l'_{m} - h \ge l'_{n_{k_{0}}} - h - N = x_{k_{0}} - h - N \ge \Gamma^{0}_{k_{0}k_{0}}(h) - N \ge M - N > 0,$$

and  $l'_m > h$ . Hence  $(\Gamma^0_{n_{k_0}m})'(h) \ge l'_m - h \ge M - N$ .

In the case  $m \le n_{k_0-1}$ , we have  $n_{k_1-1} < m \le n_{k_1}$  for some  $k_1 < k_0$ .

If  $x_{k_1} \leq h$ , then

$$(\Gamma^0_{n_{k_0}m})'(h) \ge e^h \sum_{k=m+1}^{n_{k_0}} e^{-l'_k} \ge e^h \sum_{k=k_1+1}^{k_0} e^{-x_k} = \Gamma^0_{k_0k_1}(h) \ge M.$$

If  $x_{k_1} > h$  and  $l'_m > h$ , then

$$\left(\Gamma_{n_{k_0}m}^{0}\right)'(h) = l'_m - h + e^h \sum_{k=m}^{n_{k_0}} e^{-l'_k} \ge l'_{n_{k_1}} - h - N + e^h \sum_{k=m}^{n_{k_0}} e^{-l'_k}$$
$$\ge x_{k_1} - h - N + e^h \sum_{k=k_1}^{k_0} e^{-x_k} = \Gamma_{k_0k_1}^0(h) - N \ge M - N.$$

If  $x_{k_1} > h$  and  $l'_m \le h$ , then  $x_{k_1} - N = l'_{n_{k_1}} - N \le l'_m \le h$  and  $0 \ge x_{k_1} - h - N$ ; therefore

$$\left(\Gamma^{0}_{n_{k_{0}}m}\right)'(h) = e^{h} \sum_{k=m+1}^{n_{k_{0}}} e^{-l'_{k}} \ge x_{k_{1}} - h - N + e^{h} e^{-x_{k_{1}}} - 1 + e^{h} \sum_{k=k_{1}+1}^{k_{0}} e^{-x_{k}} = \Gamma^{0}_{k_{0}k_{1}}(h) - N - 1 \ge M - N - 1.$$

Consequently,  $(K^0)' \ge M - N - 1$  for every M > N, and hence  $(K^0)' = \infty$ . Then  $\{l'_n\} \notin H$  by Theorem 4.12.

(7) First, we want to remark the following elementary fact: If i < j and  $\sigma(i) > \sigma(j)$ , then |i - j| < 2N:  $|i - j| = j - i < j - \sigma(j) + \sigma(i) - i \le 2N$ .

Fix  $n \ge 1$  and  $h \in [0, l'_n]$ . There exists  $\sigma(m)$  with  $\Gamma^0_{\sigma(n)\sigma(m)}(h) \le K^0$ . By symmetry, without loss of generality we can assume that  $\sigma(m) \le \sigma(n)$ .

If m = n, then  $\sigma(m) = \sigma(n)$  and  $(\Gamma_{nn}^0)'(h) = \Gamma_{\sigma(n)\sigma(n)}^0(h) \le K^0$ . We consider now the case  $\sigma(m) < \sigma(n)$ .

If m > n, then m - n < 2N.

If  $B'_n(h) > m$ , then  $l'_k > h$  for every  $k \in (n, m]$  and

$$\left(\Gamma_{nm}^{0}\right)'(h) = l'_{m} - h + \sum_{k=n}^{m} e^{h - l'_{k}} \le l_{\sigma(m)} - h + 2N \le \Gamma_{\sigma(n)\sigma(m)}^{0}(h) + 2N \le K^{0} + 2N$$

If  $B'_n(h) \leq m$ , then  $l'_k > h$  for every  $k \in (n, B'_n(h))$  and

$$\left(\Gamma^{0}_{nB'_{n}(h)}\right)'(h) = \sum_{k=n}^{B'_{n}(h)-1} e^{h-l'_{k}} \le 2N$$

We deal now with the case m < n. Notice first that  $\sigma([m, n]) \subset [m - N, n + N]$  and  $[m + N, n - N] \subset [\sigma(m), \sigma(n)]$ ; then, in  $\sigma([m, n]) \setminus [\sigma(m), \sigma(n)]$  there are at most 4N integers.

If  $A'_n(h) \ge m$ , then  $l'_k > h$  for every  $k \in (A'_n(h), n)$ , and

$$\begin{split} \left(\Gamma_{nA'_{n}(h)}^{0}\right)'(h) &= e^{h} \sum_{k=A'_{n}(h)+1}^{n} e^{-l'_{k}} \leq e^{h} \sum_{\substack{k \in [m,n] \\ l_{\sigma(k)} \geq h}} e^{-l_{\sigma(k)}} = e^{h} \sum_{\substack{j \in \sigma([m,n]) \\ l_{j} \geq h}} e^{-l_{j}} \leq \sum_{\substack{j \in \sigma([m,n]) \setminus [\sigma(m),\sigma(n)] \\ l_{j} \geq h}} e^{h-l_{j}} + e^{h} \sum_{\substack{j = \sigma(m) \\ l_{j} \geq h}}^{\sigma(n)} e^{-l_{j}} \\ &\leq 4N + 1 + e^{h} \sum_{\substack{j = \sigma(m) + 1}}^{\sigma(n)} e^{-l_{j}} \leq 4N + 1 + \Gamma_{\sigma(n)\sigma(m)}^{0}(h) \leq 4N + 1 + K^{0}. \end{split}$$

If  $A'_n(h) < m$ , then  $l'_k > h$  for every  $k \in [m, n)$ , and

$$(\Gamma_{nm}^{0})'(h) = l'_{m} - h + e^{h} \sum_{k=m}^{n} e^{-l'_{k}} = l_{\sigma(m)} - h + e^{h} \sum_{k\in[m,n]} e^{-l_{\sigma(k)}} = l_{\sigma(m)} - h + e^{h} \sum_{j\in\sigma([m,n])} e^{-l_{j}}$$

$$\leq \sum_{j\in\sigma([m,n])\setminus[\sigma(m),\sigma(n)]} e^{h-l_{j}} + l_{\sigma(m)} - h + e^{h} \sum_{j=\sigma(m)}^{\sigma(n)} e^{-l_{j}} \leq 4N + \Gamma_{\sigma(n)\sigma(m)}^{0}(h) \leq 4N + K^{0}.$$

Hence,  $(K^0)' \le 4N + 1 + K^0$ , and Theorem 4.12 gives (7).

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